



REPUBLIC OF THE PHILIPPINES  
SECURITIES AND EXCHANGE COMMISSION

Ground Floor, Secretariat Building, PICC  
City Of Pasay, Metro Manila

COMPANY REG. NO. AS93007946

CERTIFICATE OF FILING  
OF  
AMENDED BY-LAWS

KNOW ALL PERSONS BY THESE PRESENTS:

THIS IS TO CERTIFY that the Amended By-Laws of

**ALLIANCE GLOBAL GROUP, INC.**

copy annexed, adopted on July 27, 2018 by majority vote of the Board of Directors and on September 18, 2018 by the vote of the stockholders owning or representing majority of the outstanding capital stock, and certified under oath by the Corporate Secretary and majority of the said Board was approved by the Commission on this date pursuant to the provisions of Section 48 of the Corporation Code of the Philippines Batas Pambansa Blg. 68, approved on May 1, 1980, and copies thereof are filed with the Commission.

IN WITNESS WHEREOF, I have set my hand and caused the seal of this Commission to be affixed to this Certificate at Pasay City, Metro Manila, Philippines, this 22nd day of January, Twenty Nineteen.

  
DANIEL P. GABUYO  
Assistant Director  
SO Order 1188 Series of 2018

JS/qba

# COVER SHEET

for Applications at  
COMPANY REGISTRATION AND MONITORING DEPARTMENT

Nature of Application

SEC Registration Number

AMENDMENT

A S O 9 3 - 7 9 4 6

Former Company Name

A L L I A N C E G L O B A L G R O U P , I N C .

**AMENDED TO:**  
New Company Name

Principal Office ( No./Street/Barangay/City/Town)Province)

7 F 1 8 8 0 E A S T W O O D A V E N U E B L D G .

E A S T W O O D C I T Y C Y B E R P A R K

B A G U M B A Y A N Q U E Z O N C I T Y

ZIP CODE

1 1 1 0

COMPANY INFORMATION

Company Email Address

dinainting@allianceglobal.com.ph

Company's Telephone Number/s

709-2038 to 40

Mobile Number

CONTACT PERSON INFORMATION

The designated person **MUST** be a Director/Trustee/Partner/Officer/Resident Agent of the Corporation

Name of Contact Person

DOMINIC V. ISBERTO

Email Address

dvisberto@megaworldcorp.com

Telephone Number/s

894-6300 loc. 2024

Mobile Number

Contact Person's Address

20F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City 1634, Metro Manila, Philippines

To be accomplished by CRMD Personnel

Date

Signature

Assigned Processor \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Document I.D. \_\_\_\_\_

Received by **Corporate Filing and Records Division (CFRD)**

Forwarded to:

- Corporate and Partnership Registration Division
- Green Lane Unit
- Financial Analysis and Audit Division
- Licensing Unit
- Compliance Monitoring Division

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**CERTIFICATE OF AMENDMENT OF THE AMENDED BY-LAWS OF  
ALLIANCE GLOBAL GROUP INC.**

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
DEC 28 2018  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

That the undersigned, being the Chairman, the Corporate Secretary and at least a majority of the members of the Board of Directors of **ALLIANCE GLOBAL GROUP, INC.** (the "Corporation"), a corporation duly organized in accordance with Philippine laws, with principal office at the 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Philippines, do hereby certify that:

1. Attached is a true and correct copy of the **AMENDED BY-LAWS** of the Corporation embodying the amendment to Article VII, Section 5 of its Amended By-Laws consisting of the amendment to the date of the annual meeting of stockholders of the Corporation FROM Every Third Tuesday of September of each year TO Every Third Thursday of June of each year.
2. Article VII, Section 5 of the Amended By-Laws of the Corporation shall now read as follows:
  5. Annual Meeting – The annual meeting of the stockholders shall be held on the third Thursday of June of each calendar year when they shall elect by a plurality vote by ballot a Board of seven (7) directors to serve for one year until their successors are elected and qualified. (AMENDED AS OF JULY 27, 2018 BY THE BOARD OF DIRECTORS AND AS OF SEPTEMBER 18, 2018 BY THE STOCKHOLDERS)

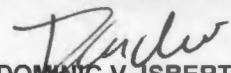
Written Notice of the annual meeting of the company shall be sent to each registered stockholder at least ten (10) days prior to the date of such meeting. Waiver of such notice may only be made in writing.

3. Said amendment was duly approved by at least a *majority* of the members of the Board of Directors at the Special Board Meeting held on 27 July 2018 at its principal office and business address, and by the stockholders owning or representing at least *two-thirds (2/3)*, of the subscribed and outstanding capital stock of the Corporation at the Annual Meeting of Stockholders held on 18 September 2018 at the Eastwood Richmond Hotel, Bagumbayan, Quezon City.

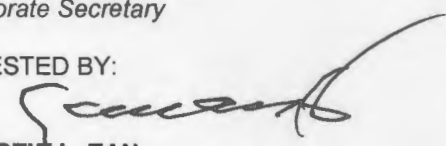
**DEC 21 2018**

IN WITNESS WHEREOF, we have hereunto set our hands on the \_\_\_\_ day of \_\_\_\_\_ 2018 at **LAGUIG CITY** Metro Manila, Philippines.

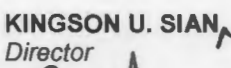
CERTIFIED CORRECT:

  
**DOMINIC V. ISBERTO**  
Corporate Secretary

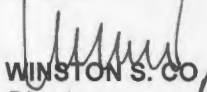
ATTESTED BY:

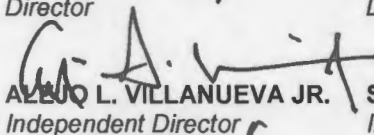
  
**ANDREW L. TAN**  
Chairman of the Meeting

  
**KEVIN ANDREW L. TAN**  
Director

  
**KINGSON U. SIAN**  
Director

  
**KATHERINE L. TAN**  
Director

  
**WINSTON S. CO**  
Director

  
**ALVARO L. VILLANUEVA JR.**  
Independent Director

  
**SERGIO R. ORTIZ-LUIS, JR.**  
Independent Director

A C K N O W L E D G M E N T

Republic of the Philippines )  
**TAGUIG CITY** ) S.S.

BEFORE ME, a Notary Public for and in **TAGUIG**, Philippines this  
 of \_\_\_\_\_ 2018 at \_\_\_\_\_, Philippines, personally  
 appeared the following: **DEC 21 2018**

Name	Competent Evidence of Identity	
	Type of ID	ID Number and Expiry Date (if applicable)
Andrew L. Tan		
Katherine L. Tan		
Winston S. Co		
Alejo L. Villanueva, Jr.		
Dominic V. Isberto		

who were identified by me through competent evidence of identity or personally known to me to be the same persons described in the foregoing instrument, who acknowledged before me that their respective signatures on the instrument were voluntary affixed by them for the purposes stated therein, and who declared to me that they have executed the instrument as their free and voluntary act and deed.

IN WITNESS WHEREOF, I have hereunto set my hand on the day, year and place first abovewritten.

Notary Public

Doc. No. 572;  
 Page No. 104;  
 Book No. 125;  
 Series of 2018.

**ATTY. JOYELL A. MENDOZA**  
 NOTARY PUBLIC FOR TAGUIG CITY  
 COM. DEC. 01, 2018  
 APPOINTED (2016-2018)  
 PTR. NO. 3852164, PASIG CITY  
 JANUARY 2, 2018  
 IBP NO. 2058109, 1/6/2017  
 TIN. NO. 59651  
 MCLE COMPLIANCE NO. V-0023127-7/23/16  
 32<sup>ND</sup> ST. CAR PLAZA BONIFACIO  
 GLOBAL TAGUIG CITY

AMENDED BY LAWS

# Alliance Global Group, Inc.

(formerly Alliance Global, Inc.)

## ARTICLE I

### Office

The office of the Corporation shall be located at Makati, Metro Manila or at such other place in the said city as the Board of Directors may, from time to time, fix.

## ARTICLE II

### The Board of Directors

1. **Qualifications and Election** – The general management of the Corporation shall be vested in a Board of seven (7) directors who shall be stockholders and who shall be elected annually by the stockholders and who shall serve until the election and qualification of their successors. Any vacancy in the Board of Directors shall be filled by a majority of vote of the stockholders owning or representing a majority of the subscribed and outstanding capital stock at a meeting specially called for the purpose, and the director or directors so chosen shall serve the unexpired term. (Amended as of July 10, 1998)

**A Nomination Committee shall be duly constituted during the organizational meeting of the Board of Directors immediately after the stockholders' meeting. The Committee shall be responsible for screening and qualifying a list of nominees to the Board of Directors, including nominees for independent directors. The Committee shall start accepting nominees immediately after a disclosure is filed by the Corporation and all nominations shall be submitted within ten (10) days from the date of disclosure. (AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)**

2. **Independent Directors** – Independent directors may be elected to the Board of Directors whenever required under Republic Act No. 8799, otherwise known as The Securities Regulation Code, or under any applicable law. The nomination and election of independent directors shall be conducted in accordance with Rule 38 of the Implementing Rules and Regulations of The Securities Regulation Code as may be amended from time to time. (AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)

3. **Quorum** – The directors shall sit as a Board, and the individual directors shall have no power as such. A majority of the directors shall be necessary at all

3 60

meeting to constitute a quorum for the transaction of the business, and every decision of a majority of the quorum duly assembled as a Board shall be valid as a corporate act. **(AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)**

**4. Meetings** – The Board of Directors shall hold a meeting for organizational purposes immediately after their election, of which meeting no notice shall be required. Thereafter, the Board of Directors shall hold regular meetings on the first Monday of every month, or the day following if the former is a holiday or an official day, at the office of the Corporation, or at such particular hour or place as the Board of may fix. **(AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)**

Special meetings of the Board may be called by the President on one (1) day's notice to each director personally or in writing, or on the written request of two (2) directors.

**5. Powers** – The Board of directors shall have the management of the business of the Corporation and such powers and authorities as are herein by these By-Laws or by statutes of the Philippines expressly conferred upon it. **(AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)**

Without prejudice to the general powers hereinabove conferred, the Board of directors shall have the following express powers :

a) From time to time, to make and change rules and regulations not inconsistent with these By-Laws for the management of the Corporation's business and officers;

b) To purchase or otherwise acquire for the company, rights and privileges which the company is authorized to acquire at such price and on such terms and conditions and for such consideration as it shall from time to time see fit;

c) To pay for any property or rights acquired by the company or to discharge obligations of the company either wholly or partly in money or stocks, debentures or other securities of the company;

d) To borrow for the company or for such purpose, create, make and issue mortgages, bonds, deeds of trust and negotiable instruments or securities, secured by mortgage or pledge of property belonging to the company; provided that, as hereinafter provided, the proper officers of the company shall have these powers unless expressly limited by the Board of Directors;

e) To delegate, from time to time, any of the powers of the Board which may be lawfully delegated in the course of the current business or businesses of the company to any standing or special committee or to any officer or agent to appoint any person as agent of the company with sub-powers (including the power to sub-delegate) and upon such terms as may be deemed fit.

**6. Compensation** – Directors shall receive no compensation except when are acting as officers at the same time. (AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)

**7. Minutes** – Minutes of the meetings of the Board of Directors shall be kept and carefully preserved as record of the business transacted at such meetings. The minutes shall contain such entries as may be required by law. (AMENDED AS OF JULY 20, 2005 BY THE BOARD OF DIRECTORS AND AS OF AUGUST 26, 2005 BY THE STOCKHOLDERS)

### ARTICLE III

#### Officers

1. **General** – The officers of the *Corporation* shall be a President, a Vice-President, a Secretary, a Treasurer, and a General Manager and whose powers and duties shall be as hereinafter provided and as the Board of Directors may fix in conformity with the provisions of these By-Laws. All officers shall be elected to their office by a majority vote of the Board of Directors. Two or more officers with compatible functions may be vested in the same person whenever deemed convenient or expedient.

2. **President** – The President shall preside at all meetings of the stockholders and of the Board of Directors. He shall be the principal executive officer of the *Corporation*. Subject to the control of the Board of Directors, he shall supervise and control the business and affairs of the *Corporation*. He shall appoint, upon previous approval of the Board, key personnel and all subordinate officers of the *Corporation*. He shall execute, upon previous approval of the Board, all contracts, deeds and agreements which the *Corporation* may enter into, and borrow money for the *Corporation* by any legal means whatsoever, including the arrangement of letters of credit and overdrafts with any and all banking institutions. He shall exercise such other powers and perform such other duties as the Board may from time to time fix or delegate.

3. **Treasurer** – The Treasurer shall be elected by the Board of Directors and he may or may not be a stockholder of the *Corporation*. He shall charge and custody of and be responsible for all funds and securities of the *Corporation*, receive and give receipts for monies due and payable to the *Corporation* from any source whatsoever, and deposit all such monies in the name of the *Corporation* in such banks, trust companies or other depositories as shall be elected by the Board: In general, he shall perform all of the duties incident to the office of Treasurer and such other duties as from time to time may be assigned to him by the Board.

4. **Secretary** – The Secretary shall : (a) keep the minutes of the stockholders' meetings and of the Board of Directors' meetings; (b) see that all notices are duly given in accordance with these By-Laws; (c) be custodian of the corporate records and of the seal of the *Corporation*; (d) keep a register of the post addresses of the stockholders which shall be furnished him by such stockholders; and, (e) in general, perform all duties incident to the office of Secretary and such other duties as from time to time may be assigned to him by the Board, the Chairman, or the President. He shall be a resident and Filipino citizen.

5. **General Manager** – The General Manager shall be elected by the Board of Directors and he may or may not be a stockholder of the Corporation. He shall have direct and active management of the operations of the business of the Corporation, conducting the same according to the orders, resolutions and instructions of the Board and according to his discretion whenever or wherever the same is not expressly limited by such orders, resolutions or instructions. He shall recommend to the President the appointment of subordinate officers and employees and exercise general superintendence and direction over them. He shall perform such other duties as may be prescribed by the Board or which may properly pertain to his office and which in his judgment will serve the best interest of the Corporation.

6. **Compensation** – Officers shall receive such salaries or compensation as may be fixed by the Board of Directors.

7. **Vacancies and Delegation of Officers** – If the office of the President, Treasurer, Secretary and General Manager or director becomes vacant by death, resignation, or otherwise, the remaining directors, if still constituting a quorum, by a majority vote, may choose a successor or successors who shall hold office for the unexpired term.

In case of the temporary absence of any officer of the Corporation, or for any other reason that the Board of Directors may deem sufficient, the Board may delegate the powers and duties of such officer or to any other officer or to any director for the time being, provided a majority of the Board concurs herein and such delegation is not covered by any express provision of these By-Laws.

8. **Additional Offices** – The Board of Directors is empowered to create additional offices as the needs of management require, with such powers and duties as may be deemed necessary and appropriate or grant additional powers and duties to any of the present officers not incompatible with their regular powers and functions.

#### ARTICLE IV

##### Stock Certificate

Each stockholder whose shares of stock subscription have been paid in full shall be entitled to a stock certificate or certification for such shares of stock.

The certificate of stock shall be in such form and design as may be determined by the Board of Directors. Every certificate shall be signed by the President and countersigned by the Secretary, sealed with the corporate seal and shall state on its face its number, the date of issue, and the number of shares for which it was issued, and the name of the person in whose it was issued.

Date: 12-6-2007 Time: 1:38:57 PM

#### ARTICLE V

##### Transfer of Shares of Stock

www.sec.gov.ph

User Name: SCARL

6

6



1. Shares of stock shall be transferred by delivery of the certificate endorsed by the owner or his attorney-in-fact or other person legally authorized to make the transfer but no transfer shall be valid except as between the parties until the transfer is annotated in the books of the Corporation.

No surrendered certificate shall be cancelled by the Secretary until a new certificate in lieu thereof is issued and the Secretary shall keep the cancelled certificate as proof of substitution.

2. If a stock certificate is lost or destroyed, the Board of Directors may order the issuance of a new certificate in lieu thereof after satisfactory proof of the loss or destruction of the original certificate to the Board of Directors which may require such guaranty as it deems sufficient.

## ARTICLE VI

### Fiscal Year and Dividends

1. **Fiscal Year** – The fiscal year of the company shall begin on the first day of January and shall end on the last day of December of each year. (Amended as of May 25, 1995)

2. **Dividends** – Dividends may be declared from the surplus profits of the company at such time or times and in such percentage as the Board of Directors may deem proper. No dividends shall be declared that will impair the capital stock of the company.

## ARTICLE VII

### Stockholders' Meeting

1. **Place** – All meetings of the stockholders shall be held at the principal office of the company, unless written notices of such meeting should fix another place within Makati, Metro Manila.

2. **Proxy** – Stockholders may vote at all meetings either in person or by proxy duly given in writing and presented to the Secretary for inspection and recorded at or prior to the opening of said meeting.

3. **Quorum** – No stockholders' meeting shall be competent to decide any matter or transact any business unless a majority of the outstanding capital stock is represented thereat, except in those cases in which the Corporation Code requires the affirmative vote of a greater proportion.

4. **Vote** – Voting upon all questions at all meetings of the stockholders shall be by shares of stock and not by per capital.

5. **Annual Meeting** – The annual meeting of the stockholders shall be held on the **third Thursday of June** of each calendar year when they shall elect by a plurality vote by ballot a Board of seven (7) directors to serve for one year until their successors are elected and qualified. **(AMENDED AS OF JULY 27, 2018 BY THE**

**BOARD OF DIRECTORS AND AS OF SEPTEMBER 18, 2018 BY THE STOCKHOLDERS)**

Written notice of the annual meeting of the company shall be sent to each registered stockholder at least ten (10) days prior to the date of such meeting. Waiver of such notice may only be made in writing.

6. **Special Meeting** – The special meetings of the stockholders may be called by the President at his discretion, or on the demand of the stockholders holding the majority of the subscribed capital stock of the Corporation.

7. **Minutes** – Minutes of all meetings of the stockholders shall be kept and carefully preserved as record of the business transacted at such meeting. The minutes shall contain such entries as may be required by law.

**ARTICLE VIII**

**Amendments**

1. **Amendments** – The stockholders, by the affirmative vote of a majority of the stocks issued and outstanding and majority of the Board may make, alter or amend these By-Laws at any regular meeting or any special meeting called for the purpose.

Adopted in Makati, Metro Manila, this 30<sup>th</sup> day of September 1993, by the affirmative vote of the undersigned stockholders representing a majority of subscribed and outstanding capital stock of the Corporation.

(Sgd.) **EDMUNDO HERRERA**  
Stockholder

(Sgd.) **ENRIQUE SANTOS L. SY**  
Stockholder

(Sgd.) **ARNULFO ALTUNA**  
Stockholder

(Sgd.) **FERDINAND MASI**  
Stockholder

(Sgd.) **CARMELO J. CANTO III**  
Stockholder

Attested by :

Date: 12-6-2007 Time: 1:38:59 PM

(Sgd.) **ARNULFO ALTUNA**  
President

(Sgd.) **CARMELO J. CANTO III**  
Secretary

www.sec.gov.ph **DOCSECIJ7AGGI-amended-bylaws**

User Name: SCARL

RECEIVED COPY  
8 DE 10 PAGES