



110182017002868



## SECURITIES AND EXCHANGE COMMISSION

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Company Information

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Company Name ALLIANCE GLOBAL GROUP INC.  
Industry Classification Real Estate Activities  
Company Type Stock Corporation

Document Information

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**C E R T I F I C A T I O N**

I, **DOMINIC V. ISBERTO**, of legal age, Filipino, with office address at the 20<sup>th</sup> Floor Alliance Global Tower, 36<sup>th</sup> Street cor. 11<sup>th</sup> Avenue, Uptown Bonifacio, Metro Manila, Philippines, having been sworn in accordance with law, do hereby state that:

1. I am the Corporate Secretary of **ALLIANCE GLOBAL GROUP, INC.** (the "Corporation"), a corporation duly organized and existing under Philippine laws with principal office address at 7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Philippines;

2. I hereby certify that the compact disc accompanying the **General Information Sheet** of the Corporation filed with the Securities and Exchange Commission on the date hereunder contains the exact data in the hard copies of the General Information Sheet.

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of 18 OCT 2017 2017 at Taguig City, Philippines.

*Dominic V. Isberto*  
**DOMINIC V. ISBERTO**  
Corporate Secretary

18 OCT 2017

SUBSCRIBED AND SWORN to before me this \_\_\_\_\_ day of \_\_\_\_\_ 2017 at Makati City, Philippines, affiant exhibiting to me his SSS No. 33-1952824-1.

Doc No. 104 ;  
Page No. 02 ;  
Book No. 84 ;  
Series of 2017.

NOTARY PUBLIC  
**ATTY. JOWELL A. MENDOZA**  
NOTARY PUBLIC FOR TAGUIG CITY  
UNTIL DEC. 31, 2018  
APPT. NO. 20 (2016-2018)  
PTR No. 251293, 01/1/2017, PASKI CITY  
ISP No. 105-19, 3/6/2017  
ROLL NO. 55661  
MCLE COMPLIANCE FILE V-0023127-7/23/16  
52<sup>ND</sup> ST. CANPUA BARRIO  
GENERAL TAGUIG CITY

**Alliance Global Group, Inc.**

7/F 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr. Avenue, Bagumbayan 1110, Quezon City, Metro Manila  
Tel. Nos: (632) 709-2038 to 41 | Fax: (632) 709-1966

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2017

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC.		<b>DATE REGISTERED:</b> 10/12/1993
<b>BUSINESS/TRADE NAME:</b> ALLIANCE GLOBAL GROUP, INC.		<b>FISCAL YEAR END:</b> December 31
<b>SEC REGISTRATION NUMBER:</b> AS093-7946		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 003-831-302-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> 3rd Tuesday of September		<b>WEBSITE/URL ADDRESS:</b> www.allianceglobalinc.com
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 19-Sep-17		<b>E-MAIL ADDRESS:</b> intingdin@ymail.com
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila		<b>FAX NUMBER:</b> 709-1966
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Punongbayan & Araullo - Mailene Sigue-Bisnar	<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0396-AR-3-partner 0002-FR-4-firm	<b>TELEPHONE NUMBER(S):</b> 709-2038 to 41
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Holding Company	<b>INDUSTRY CLASSIFICATION:</b> N.A.	<b>GEOGRAPHICAL CODE:</b> N.A.

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N.A.	N.A.	N.A.
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ALLIANCE GLOBAL BRANDS, INC.	A199919852	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
FIRST CENTRO, INC.	AS094-6876	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
EMPERADOR INC.	A200117595	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
MEGAWORLD CORPORATION	167423	30th Floor, Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio Taguig City
GOLDEN ARCHES DEVELOPMENT CORPORATION	94000	17/F Citibank Centre, Paseo de Roxas, Makati City
ADAMS PROPERTIES, INC.	CS200702851	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
TRAVELLERS INTERNATIONAL HOTEL GROUP, INC.	CS2003-42649	10/F Newport Entertainment & Commercial Center Newport Blvd., Pasay City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:** ALLIANCE GLOBAL GROUP, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**       Yes       No

**Please check the appropriate box:**

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>
<p><b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b></p>	<p>Describe nature of business: <span style="float: right;">HOLDING COMPANY</span></p> <p><input type="radio"/> Yes      <input checked="" type="radio"/> No</p>

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>	<b>ALLIANCE GLOBAL GROUP, INC.</b>
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**CAPITAL STRUCTURE (as of August 31, 2017)**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	12,950,000,000	1.00	12,950,000,000.00
	-----	-----	-----	-----
	-----	-----	-----	-----
<b>TOTAL</b>		<b>12,950,000,000</b>	<b>TOTAL P</b>	<b>12,950,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	857	Common	7,155,939,950	1,197,562,736	1.00	7,155,939,950.00	69.68%
	-----	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			<b>7,155,939,950</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>7,155,939,950.00</b>	<b>69.68%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Non-Filipino	1	Common	2,993,526,629	2,993,526,629	1.00	2,993,526,629.00	29.15%
Other Alien	1	Common	120,000,000	0	1.00	120,000,000.00	1.17%
Chinese	3	Common	204,700	204,700	1.00	204,700.00	0.00%
Kittian & Nevisian	1	Common	100,000	100,000	1.00	100,000.00	0.00%
American	1	Common	52,500	52,500	1.00	52,500.00	0.00%
Finland	1	Common	4,200	4,200	1.00	4,200.00	0.00%
<b>Percentage of Foreign Equity:</b>			<b>TOTAL 3,113,888,029</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>3,113,888,029.00</b>	<b>30.32%</b>
						<b>TOTAL SUBSCRIBED P</b>	<b>10,269,827,979.00</b>
							<b>100%</b>

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	857	Common	7,155,939,950	1	7,155,939,950.00	69.68%
	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			<b>7,155,939,950</b>	<b>TOTAL P</b>	<b>7,155,939,950.00</b>	<b>69.68%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Non-Filipino	1	Common	2,993,526,629	1	2,993,526,629	29.15%
Other Alien	1	Common	120,000,000	1	120,000,000	1.17%
Chinese	3	Common	204,700	1	204,700	0.00%
Kittian & Nevisian	1	Common	100,000	1	100,000	0.00%
American	1	Common	52,500	1	52,500	0.00%
Finland	1	Common	4,200	1	4,200	0.00%
<b>TOTAL</b>			<b>3,113,888,029</b>	<b>TOTAL P</b>	<b>3,113,888,029.00</b>	<b>30.32%</b>
					<b>TOTAL PAID-UP P</b>	<b>10,269,827,979.00</b>
						<b>100%</b>

**NOTE: USE ADDITIONAL SHEET IF NECESSARY**

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** **ALLIANCE GLOBAL GROUP, INC.**

## DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. ANDREW L. TAN Filipino	N	C	M	Y	Chairman & CEO	A/M; RPT/M
2. SERGIO R. ORTIZ-LUIS, JR. Filipino	N	I	M	Y	Vice Chairman	A/M; CG/M; BRO/C; RPT/M
3. KINGSON U. SIAN Filipino	N	M	M	Y	President & COO	N.A
4. KATHERINE L. TAN Filipino	N	M	F	Y	Treasurer	N.A
5. KEVIN ANDREW L. TAN Filipino	N	M	M	Y	Executive Director	CG/M; BRO/M
6. WINSTON S. CO Filipino	N	M	M	Y	N.A.	N.A
7. ALEJO L. VILLANUEVA, JR. Filipino	N	I	M	Y	Lead Independent Director	A/C; CG/C; BRO/M; RPT/C
8. DINA D.R. INTING Filipino	N	N.A.	F	Y	FVP-Finance, CIO, & Compliance Officer	N.A
9. DOMINIC V. ISBERTO Filipino	N	N.A.	M	N	Corporate Secretary	N.A
10. ROLANDO D. SIATELA Filipino	N	N.A.	M	N	Assistant Corporate Secretary	N.A
11. ---NOTHING FOLLOWS---						
12.						
13.						
14.						
15.						

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**  
- STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>	ALLIANCE GLOBAL GROUP, INC.		
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	<b>865</b>	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>	<b>854</b>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>	<b>Php491,296,962,915</b>		

**STOCKHOLDER'S INFORMATION (as of August 31, 2017)**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	
1. The Andresons Group, Inc. Filipino	Common	4,033,178,294	4,033,178,294.00	39.27%	4,033,178,294.00
	<b>TOTAL</b>	<b>4,033,178,294</b>	<b>4,033,178,294.00</b>		
2. PCD Nominee Corporation Non-Filipino	Common	2,993,526,629	2,993,526,629.00	29.15%	2,993,526,629.00
	<b>TOTAL</b>	<b>2,993,526,629</b>	<b>2,993,526,629.00</b>		
a. The Hongkong and Shanghai Banking Corp Ltd - Client's Acct. Non-Filipino	Common	1,814,743,746	1,814,743,746.00		1,814,743,746.00
	<b>TOTAL</b>	<b>1,814,743,746</b>	<b>1,814,743,746.00</b>		
b. Deutshe Bank Manila-Clients A/C Non-Filipino	Common	924,577,982	924,577,982.00		924,577,982.00
	<b>TOTAL</b>	<b>924,577,982</b>	<b>924,577,982.00</b>		
c. Citibank N.A. Non-Filipino	Common	448,906,095	448,906,095.00		448,906,095.00
	<b>TOTAL</b>	<b>448,906,095</b>	<b>448,906,095.00</b>		
3. PCD Nominee Corporation Filipino	Common	1,314,957,633	1,314,957,633.00	12.80%	1,314,957,633.00
	<b>TOTAL</b>	<b>1,314,957,633</b>	<b>1,314,957,633.00</b>		
a. Government Service Insurance System Filipino	Common	181,653,621	181,653,621.00		181,653,621.00
	<b>TOTAL</b>	<b>181,653,621</b>	<b>181,653,621.00</b>		
b. Standard Chartered Bank Filipino	Common	153,298,825	153,298,825.00		153,298,825.00
	<b>TOTAL</b>	<b>153,298,825</b>	<b>153,298,825.00</b>		
<b>SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>8,341,662,556.00</b>	<b>81.22%</b>	<b>8,341,662,556.00</b>
<b>SUBTOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>8,341,662,556.00</b>

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		ALLIANCE GLOBAL GROUP, INC.			
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	865	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>	854		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		Php491,296,962,915			
<b>STOCKHOLDER'S INFORMATION (as of August 31, 2017)</b>					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	
4. Altavision Resources, Inc. Filipino	Common	451,574,334	451,574,334.00	4.40%	451,574,334.00
	<b>TOTAL</b>	<b>451,574,334</b>	<b>451,574,334.00</b>		
5. Yorkshire Holdings, Inc. Filipino	Common	255,773,508	255,773,508.00	2.49%	255,773,508.00
	<b>TOTAL</b>	<b>255,773,508</b>	<b>255,773,508.00</b>		
6. Asiagroup Holdings, Inc. Filipino	Common	220,004,000	220,004,000.00	2.14%	220,004,000.00
	<b>TOTAL</b>	<b>220,004,000</b>	<b>220,004,000.00</b>		
7. Globaland Holdings, Inc. Filipino	Common	220,004,000	220,004,000.00	2.14%	220,004,000.00
	<b>TOTAL</b>	<b>220,004,000</b>	<b>220,004,000.00</b>		
8. Grand Belair Holdings, Inc. Filipino	Common	220,004,000	220,004,000.00	2.14%	220,004,000.00
	<b>TOTAL</b>	<b>220,004,000</b>	<b>220,004,000.00</b>		
9. Le Bristol Holdings, Inc. Filipino	Common	216,100,000	216,100,000.00	2.10%	216,100,000.00
	<b>TOTAL</b>	<b>216,100,000</b>	<b>216,100,000.00</b>		
10. California Orchard Grower' Investments, Inc. Non-Filipino	Common	120,000,000	120,000,000.00	1.17%	120,000,000.00
	<b>TOTAL</b>	<b>120,000,000</b>	<b>120,000,000.00</b>		
<b>SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>10,045,122,398</b>	<b>97.81%</b>	
<b>SUBTOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>10,045,122,398.00</b>
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>					
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>					

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>	ALLIANCE GLOBAL GROUP, INC.		
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	<b>865</b>	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>	<b>854</b>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>	<b>Php491,296,962,915</b>		

**STOCKHOLDER'S INFORMATION (as of August 31, 2017)**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
11. Eastwood Property Holdings, Inc. Filipino	Common	112,600,000	112,600,000.00	1.10%	112,600,000.00
	<b>TOTAL</b>	<b>112,600,000</b>	<b>112,600,000.00</b>		
12. Andrew L. Tan Filipino	Common	63,684,350	63,684,350.00	0.62%	63,684,350.00
	<b>TOTAL</b>	<b>63,684,350</b>	<b>63,684,350.00</b>		
13. Andresons Global, Inc. Filipino	Common	30,088,596	30,088,596.00	0.29%	30,088,596.00
	<b>TOTAL</b>	<b>30,088,596</b>	<b>30,088,596.00</b>		
14. Megaworld Cebu Properties, Inc. Filipino	Common	10,000,000	10,000,000.00	0.10%	10,000,000.00
	<b>TOTAL</b>	<b>10,000,000</b>	<b>10,000,000.00</b>		
15. Kingson U. Sian Filipino	Common	5,001,100	5,001,100.00	0.05%	5,001,100.00
	<b>TOTAL</b>	<b>5,001,100</b>	<b>5,001,100.00</b>		
16. Lucio W. Yan &/or Clara Y. Yan Filipino	Common	1,000,000	1,000,000.00	0.01%	1,000,000.00
	<b>TOTAL</b>	<b>1,000,000</b>	<b>1,000,000.00</b>		
17. First Centro, Inc. Filipino	Common	364,200	364,200.00	0.00%	364,200.00
	<b>TOTAL</b>	<b>364,200</b>	<b>364,200.00</b>		
<b>SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>10,267,860,644.00</b>	<b>99.99%</b>	<b>10,267,860,644.00</b>
<b>SUBTOTAL AMOUNT OF PAID-UP CAPITAL</b>					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

**Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.**

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		ALLIANCE GLOBAL GROUP, INC.			
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		865	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		854
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		Php491,296,962,915			
<b>STOCKHOLDER'S INFORMATION (as of August 31, 2017)</b>					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (Php)
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP	
18. Jianhua Su Chinese	Common	202,500	202,500.00	0.00%	202,500.00
	<b>TOTAL</b>	<b>202,500</b>	<b>202,500.00</b>		
19. American Wire & Cable Co. Inc. Filipino	Common	200,000	200,000.00	0.00%	200,000.00
	<b>TOTAL</b>	<b>200,000</b>	<b>200,000.00</b>		
20. Ching Bun Teng Filipino	Common	150,000	150,000.00	0.00%	150,000.00
	<b>TOTAL</b>	<b>150,000</b>	<b>150,000.00</b>		
21. OTHERS (845 stockholders)	Common	1,414,835	1,414,835.00	0.01%	1,414,835.00
	<b>TOTAL</b>	<b>1,414,835</b>	<b>1,414,835.00</b>		
-NOTHING FOLLOWS-					
	<b>TOTAL</b>				
	<b>TOTAL</b>				
	<b>TOTAL</b>				
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			10,269,827,979.00	100%	<b>10,269,827,979.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.					

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC.			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>		<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>
1.1 STOCKS		6,808,628,049.00	N.A.
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		11,138,381,890.00	N.A.
1.3 LOANS/ CREDITS/ ADVANCES		2,520,255,783.00	N.A.
1.4 GOVERNMENT TREASURY BILLS		N.A.	N.A.
1.5 OTHERS		N.A.	N.A.
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>		<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>
N.A.		N.A.	N.A.
<b>3. TREASURY SHARES</b> N.A.		<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
		N.A.	N.A.
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b> Php84,856,758,645			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>		<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>
5.1 CASH		N.A.	N.A.
5.2 STOCK		N.A.	N.A.
5.3 PROPERTY		N.A.	N.A.
<b>TOTAL</b>			
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
N.A.	N.A.	N.A.	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Certificate of Permit to Offer Securities for Sale (3,600,000,000 common shares)	N.A.	N.A.
<b>DATE ISSUED:</b>	6-Jun-07	N.A.	N.A.
<b>DATE STARTED OPERATIONS:</b>	6-Jun-07	N.A.	N.A.
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>		<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>
Php525,000		8	28,879
		<b>TOTAL MANPOWER COMPLEMENT</b>	
		40,263	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, DOMINIC V. ISBERTO CORPORATE SECRETARY OF ALLIANCE GLOBAL GROUP, INC.  
DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH  
INFORMATION SHEET WHICH CONSISTS OF **THIRTEEN (13)** PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO  
THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

**I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION  
HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.**

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS  
NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF  
INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 18 OCT 2017 DAY OF \_\_\_\_\_, 20\_\_\_\_ IN TAGUIG CITY

  
DOMINIC V. ISBERTO

SUBSCRIBED AND SWORN TO BEFORE ME IN TAGUIG CITY CITY/PROVINCE, PHILIPPINES ON  
\_\_\_\_\_, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS SSS IDENTIFICATION NO. 33-1952824-1.

NOTARY PUBLIC FOR ATTY. JOWELL A. MENDOZA  
Notarial Commission No. NOTARY PUBLIC FOR TAGUIG CITY  
Commission expires on December 31, UNTR. DEC. 31, 2018  
Roll of Attorney Number APPT. NO. 2018016-2018  
PTR No. PTR No. 2512330-014/2017, PASIG CITY  
IBP No. IBP No. 1858103-4/6/2017  
Office Address: ROLL NO. 52851  
MCLE COMPLIANCE NO. V-0823127-7/23/15  
32ND ST. CAR PLAZA BONIFACIO  
GLOBAL TAGUIG CITY

DOC. NO.: 105  
PAGE NO.: 28  
BOOK NO.: 21  
SERIES OF.: 2017

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

**NOT FOR UPLOADING**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** ALLIANCE GLOBAL GROUP, INC.

**LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS**

**TAX IDENTIFICATION NO. (TIN) INFORMATION**

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
1. Andrew L. Tan 105 Rodeo Drive, Corinthian Hills, Brgy. Ugong Norte, Quezon City	Filipino	S; Chairman; CEO	125-960-003
2. Sergio R. Ortiz-Luis, Jr. 2002E Skyland Condominium, Gil Puyat Avenue, Brgy. San Antonio, Makati City	Filipino	S; M; Vice Chairman; I	122-326-423
3. Kingson U. Sian Unit 1803 Horizon Condo., Meralco Ave., Brgy. Oranbo, Pasig City	Filipino	S; M; President; COO	184-608-473
4. Katherine L. Tan 105 Rodeo Drive, Corinthian Hills, Brgy. Ugong Norte, Quezon City	Filipino	S; M; Treasurer	137-599-459
5. Kevin Andrew L. Tan 11 Abueva St., Corinthian Gardens, Brgy. Ugong Norte, Quezon City	Filipino	S; M; Executive Director	224-803-734
6. Winston S. Co 23 Lopez Jaena St. Ayala Heights Subd. Balara, Quezon City	Filipino	S; M	126-339-464
7. Alejo L. Villanueva, Jr. Lot 8 Block 11 Castle Street, Ridge View Estate, Nuvali, Sta. Rosa, Laguna	Filipino	S; I; Lead Independent Director	107-279-348
8. Dina D.R. Inting Commonwealth Hobart Homes, Old Balara, Quezon City	Filipino	S; FVP-Finance / CIO / Compliance Officer	111-198-710
9. Dominic V. Isberto Regency Townhomes, Xavierville 2, Brgy. Loyola Heights, Quezon City	Filipino	Corporate Secretary	204-642-254
10. Rolando D. Siatela 77 Monrovia St., LBA1, Sta. Rosa, Laguna	Filipino	Asst. Corporate Secretary	121-475-619
11. See attached list of Top 20 stockholders as of 31 August 2017			
12.			
13.			
14.			
15.			

**INSTRUCTION:**

FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:

"C" for Chairman, "M" for Member, "I" for Independent Director; and/or  
Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or  
"S" for Stockholder.

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**GENERAL INFORMATION SHEET**

STOCK CORPORATION

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**LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS**

**TAX IDENTIFICATION NO. (TIN) INFORMATION**

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
1. The Andresons Group, Inc. 7th Floor 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr. Avenue, Makati	Filipino	S	290-000-298-167
2. PCD Nominee Corporation 37/F Tower 1, The Enterprise Center, Ayala Ave. cor. Paseo De Roxas, Makati City	Filipino	S	004-774-849-000
3. PCD Nominee Corporation The Enterprise Center, Ayala Ave. cor. Paseo De Roxas, Makati City	Non-Filipino	S	004-774-849-000
4. Altavision Resources, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	006-608-221
5. Yorkshire Holdings, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	201-372-827
6. Asiagroup Holdings, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	006-608-239
7. Globaland Holdings, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	006-608-191
8. Grand Belair Holdings, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	006-608-213
9. Le Bristol Holdings, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	006-614-541
10. California Orchard Growers' Investments, Inc. c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan O.C.	Non-Filipino	S	449-952-507-000
11. Eastwood Property Holdings, Inc. c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan O.C.	Filipino	S	005-025-837-000
12. Andrew L. Tan 105 Rodeo Drive, Corinthian Hills, Brgy. Ugong Norte, Quezon City	Filipino	S; C; CEO	125-960-003
13. Andresons Global, Inc. 21/F IBM Plaza Eastwood City, Bagumbayan, Quezon City	Filipino	S	000-381-355
14. Megaworld Cebu Properties, Inc. 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Filipino	S	223-075-409
15. Kingson U. Sian 1803 Horizon Condominium, Meralco Ave., Brgy. Oranbo, Pasig City	Filipino	S; M; President; COO	184-608-473

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**LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS**

**TAX IDENTIFICATION NO. (TIN) INFORMATION**

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
16. Lucio W. Yan &/or Clara Y. Yan 537 Lafayette St., Greenhills, Mandaluyong City	Filipino	S	102-646-906
17. First Centro, Inc. 7/F 1880 Eastwood Avenue, Eastwood City CyberPark Bagumbayan,Q.C.	Filipino	S	003-978-174
18. Jianhua Su 34C Greenbelt Parkplace 112 C. Palanca St. Legaspi Village, Makati City	Filipino	S	476-432-087
19. American Wire & Cable Co. Inc. KM. 16 South Superhighway, Parañaque, Metro Manila	Filipino	S	000-409-854-000
20. Ching Bun Teng 389 Quirino Hi-way, Novaliches, Metro Manila	Filipino	S	105-538-165
-NOTHING FOLLOWS-			
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