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(Company's Full Name)

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(Business Address: No. Street City/ Town/ Province)

DINA INTING		709-2038 to 41	
Contact Person	Compa	ny Telephone Number	•
1 2 3 1 S E Month Day	C F O R M 1 7 - C	09	3rd Tue
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riscal Teal	Certificate of Permit to Offer Securities for Sale		
S	secondary License Type, If Applicable		
Dept. Requiring this Doc.	Amended	Articles Number/Section	on
	Total Amount o	of Borrowings	
Total No. of Stockholders	Domestic	Foreign	
To be a	accomplished by SEC Personnel concerned		
File Number			
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Document I.D.			
Document 1.D.	Cashier		
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### SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

## CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

1. <u>21 October 2020</u>

Date of Report

2. SEC Identification No: <u>ASO93-7946</u> 3. BIR Tax Identification No: <u>003-831-302-000</u>

4. Alliance Global Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila

Province, Country or other jurisdiction of incorporation or organization

6. (SEC use only)

Industry classification code

7. 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan Quezon City, Metro Manila, Philippines, 1110

Address of issuer's principal office

8. **(632) 8709-2038 to 41** 

Issuer's telephone number, including area code

9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class

No. of Shares of Common Stock

Outstanding

Common 9,730,968,079 Treasury 538,859,900

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 21 September 2020, the Corporation purchased from the open market on 21 October 2020, a total of 578,800 common shares at the average price of Php7.9746 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

#### SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING

Chief Financial Officer, Compliance Officer and Corporate Information Officer

## SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Oct 21, 2020

2. SEC Identification Number

ASO93-7946

3. BIR Tax Identification No.

003-831-302-000

4. Exact name of issuer as specified in its charter

Alliance Global Group, Inc.

5. Province, country or other jurisdiction of incorporation

Metro Manila

- 6. Industry Classification Code(SEC Use Only)
- 7. Address of principal office

7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Metro Manila, Philippines
Postal Code
1110

8. Issuer's telephone number, including area code (632) 8709-2038 to 41

9. Former name or former address, if changed since last report

N/A

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common	9,730,968,079
Treasury	538,859,900

11. Indicate the item numbers reported herein

Item 9(b)

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



# Alliance Global Group, Inc. AGI

PSE Disclosure Form 9-1 - Share Buy-Back Transactions References: Section 9 of the Revised Disclosure Rules

Subject of the Disclosure

Share Buy-Back Transa	ictions							
Background/Description	of the Disclos	ure						
Pursuant to the Corpora purchased from the ope Php7.9746 per share.	ation's buy-bac n market on 2	ck program approved by the B 1 October 2020, a total of 578	oard on 21 September 2020, the C ,800 common shares at the averag	corporation ge price of				
Type of Securities								
Common								
Preferred N/A								
Others N/A								
Details of Share Buy-Bac	k Transaction(	s)						
Date of Transac	tion	Number of Shares F	Purchased Price	Per Share				
Oct 21, 2020			370,000	8.00				
Oct 21, 2020			10,200	7.96				
Oct 21, 2020			106,900	7.95				
Oct 21, 2020			10,100	7.94				
Oct 21, 2020			10,500					
Oct 21, 2020			11,100					
Oct 21, 2020			12,500					
Oct 21, 2020			13,300	7.90				
Oct 21, 2020			15,000	7.89				
Oct 21, 2020			8,200	7.88				
Oct 21, 2020			3,000	7.86				
Oct 21, 2020			8,000 578,800					
Effects on Number of Sh	aros		070,000					
		Before	After					
Outstanding Shares		9,731,546,879	9,730,968,079					
Treasury Shares		538,281,100	81,100 538,859,900					
Cumulative Number of Shares Purchased to Date <sup>1</sup>	8,459,300							
Total Amount Appropriated for the Buy-Back Program	Php2,500,000,000.00							
Total Amount of Shares Repurchased	Php60,491,407.00							
Other Relevant Informat	ion							
None.								
From the date wher	ı the share b	uy-back program commen	ced.					
iled on behalf by:								
Filed on behalf by: Name		Alan Quintana						