

A S 0 9 3 - 7 9 4 6

S.E.C. Registration Number

A L L I A N C E G L O B A L  
G R O U P , I N C .

(Company's Full Name)

7 / F 1 8 8 0 E A S T W O O D A V E N U E  
E A S T W O O D C I T Y C Y B E R P A R K  
B A G U M B A Y A N Q U E Z O N C I T Y

(Business Address: No. Street City/ Town/ Province)

**DINA INTING**

Contact Person

**8 709-2038 to 41**

Company Telephone Number

1 2 3 1

Month Day

Fiscal Year

S E C F O R M 1 7 - C

FORM TYPE

09 3rd Tues.

Month Day

Certificate of Permit to Offer Securities for Sale

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. use black ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2 (c) THEREUNDER**

1. **05 November 2020**  
Date of Report
2. SEC Identification No: **ASO93-7946**      3. BIR Tax Identification No: **003-831-302-000**
4. **Alliance Global Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila**  
Province, Country or other jurisdiction of incorporation or organization
6. (SEC use only)  
Industry classification code
7. **7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark  
E. Rodriguez, Jr. Avenue, Bagumbayan  
Quezon City, Metro Manila, Philippines, 1110**  
Address of issuer's principal office
8. **(632) 8709-2038 to 41**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

| Title of Class  | No. of Shares of Common Stock<br>Outstanding |
|-----------------|--|
| <b>Common</b>   | <b>9,724,682,179</b>                         |
| <b>Treasury</b> | <b>545,145,800</b>                           |

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 21 September 2020, the Corporation purchased from the open market on 05 November 2020, a total of 726,800 common shares at the average price of Php8.3651 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

**S I G N A T U R E**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ALLIANCE GLOBAL GROUP, INC.**

By:

  
**DINA D.R. INTING**

*Chief Financial Officer, Compliance  
Officer and Corporate Information Officer*

# SECURITIES AND EXCHANGE COMMISSION

## SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)  
Nov 5, 2020
2. SEC Identification Number  
ASO93-7946
3. BIR Tax Identification No.  
003-831-302-000
4. Exact name of issuer as specified in its charter  
Alliance Global Group, Inc.
5. Province, country or other jurisdiction of incorporation  
Metro Manila
6. Industry Classification Code(SEC Use Only)
7. Address of principal office  
7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue,  
Bagumbayan, Quezon City, Metro Manila, Philippines  
Postal Code  
1110
8. Issuer's telephone number, including area code  
(632) 8709-2038 to 41
9. Former name or former address, if changed since last report  
N/A
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
 

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|---------------------|---|
| Common              | 9,724,682,179   |
| Treasury            | 545,145,800   |
11. Indicate the item numbers reported herein  
Item 9(b)

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*

# Alliance Global Group, Inc.

## AGI

### PSE Disclosure Form 9-1 - Share Buy-Back Transactions

*References: Section 9 of the Revised Disclosure Rules*

#### Subject of the Disclosure

Share Buy-Back Transactions

#### Background/Description of the Disclosure

Pursuant to the Corporation's buy-back program approved by the Board on 21 September 2020, the Corporation purchased from the open market on 05 November 2020, a total of 726,800 common shares at the average price of Php8.3651 per share.

#### Type of Securities

- Common
- Preferred    N/A
- Others        N/A

#### Details of Share Buy-Back Transaction(s)

| Date of Transaction | Number of Shares Purchased | Price Per Share |
|---------------------|----------------------------|-----------------|
| Nov 5, 2020         | 4,100                      | 8.47            |
| Nov 5, 2020         | 5,300                      | 8.46            |
| Nov 5, 2020         | 11,100                     | 8.45            |
| Nov 5, 2020         | 7,200                      | 8.44            |
| Nov 5, 2020         | 10,100                     | 8.43            |
| Nov 5, 2020         | 11,500                     | 8.42            |
| Nov 5, 2020         | 7,100                      | 8.41            |
| Nov 5, 2020         | 3,300                      | 8.40            |
| Nov 5, 2020         | 10,100                     | 8.39            |
| Nov 5, 2020         | 10,500                     | 8.38            |
| Nov 5, 2020         | 482,800                    | 8.37            |
| Nov 5, 2020         | 20,300                     | 8.36            |
| Nov 5, 2020         | 25,000                     | 8.35            |
| Nov 5, 2020         | 10,100                     | 8.34            |
| Nov 5, 2020         | 10,800                     | 8.33            |
| Nov 5, 2020         | 35,100                     | 8.32            |
| Nov 5, 2020         | 21,300                     | 8.31            |
| Nov 5, 2020         | 16,600                     | 8.30            |
| Nov 5, 2020         | 17,200                     | 8.29            |
| Nov 5, 2020         | 3,100                      | 8.28            |
| Nov 5, 2020         | 3,100                      | 8.27            |
| Nov 5, 2020         | 800                        | 8.25            |
| Nov 5, 2020         | 300                        | 8.24            |
|                     | 726,800                    |                 |

#### Effects on Number of Shares

|                    | Before        | After         |
|--------------------|---------------|---------------|
| Outstanding Shares | 9,725,408,979 | 9,724,682,179 |
| Treasury Shares    | 544,419,000   | 545,145,800   |

|  |                     |
|--|---------------------|
| Cumulative Number of Shares Purchased to Date <sup>1</sup> | 14,745,200          |
| Total Amount Appropriated for the Buy-Back Program         | Php2,500,000,000.00 |
| Total Amount of Shares Repurchased                         | Php111,577,505.00   |

| Other Relevant Information |
|----------------------------|
| None.                      |

<sup>1</sup> From the date when the share buy-back program commenced.

Filed on behalf by:

|             |                     |
|-------------|---------------------|
| Name        | Alan Quintana       |
| Designation | Corporate Secretary |