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| B | A | G | U | M | B | A | Y | A | N | | Q | U | E | Z | 0 | N | | C I | T | Y | | | |
| (Business Address: No. Street City/ Town/ Province) DINA D.R. INTING Contact Person B709-2038 to 41 Company Telephone Number | | | | | | | | | | | | | | | | | | | | | | | |
| 1 Mon | | | 3 1 Day | | | | S | E | C | F | | / TYF | PΕ | | 1 | 7 - | C | | 06 |] onth | 3 | | hurs. Day |
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| Dept. Requiring this Doc. Amended Articles Number/Section | | | | | | | | | | | | ection | n | | | | | | | | | | |
| Total No. of Stockholders | | | | | | | | | | Total Amount of Domestic | | | | | | of Bo | f Borrowings Foreign | | | | | | |
| | | | | | T | - | To b | e ac | comp | olishe | ed by | / SEC | C Pe | rsor | nnel d | concer | ned | | | | | | |
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STAMPS

SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

1. **01 March 2021**

Date of Report

2. SEC Identification No: <u>ASO93-7946</u> 3. BIR Tax Identification No: <u>003-831-302-000</u>

4. Alliance Global Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila

Province, Country or other jurisdiction of incorporation or organization

6. (SEC use only)

Industry classification code

7. 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan Quezon City, Metro Manila, Philippines, 1110

Address of issuer's principal office

8. **(632) 8709-2038 to 41**

Issuer's telephone number, including area code

Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class

No. of Shares of Common Stock

Outstanding

Common 9,681,032,679

Treasury 588,795,300

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 21 September 2020, the Corporation purchased from the open market on 01 March 2021, a total of 590,500 common shares at the average price of Php10.7801 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING

Chief Financial Officer, Compliance Officer and Corporate Information Officer

SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Mar 1, 2021

2. SEC Identification Number

ASO93-7946

3. BIR Tax Identification No.

003-831-302-000

4. Exact name of issuer as specified in its charter

Alliance Global Group, Inc.

5. Province, country or other jurisdiction of incorporation

Metro Manila

- 6. Industry Classification Code(SEC Use Only)
- 7. Address of principal office

7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Metro Manila, Philippines
Postal Code
1110

8. Issuer's telephone number, including area code (632)-8709-2038 to 41

9. Former name or former address, if changed since last report

N/A

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding | | | | | | | | |
|---------------------|---|--|--|--|--|--|--|--|--|
| Common | 9,681,032,679 | | | | | | | | |
| Treasury | 588,795,300 | | | | | | | | |

11. Indicate the item numbers reported herein

Item 9(b)

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



Alliance Global Group, Inc. AGI

PSE Disclosure Form 9-1 - Share Buy-Back Transactions References: Section 9 of the Revised Disclosure Rules

Subject of the Disclosure

| Share Buy-Back Transa | actions | | | | | | | | | |
|--|-------------------------------------|--|---|--------------------------------------|--|--|--|--|--|--|
| Background/Description | of the Disclosu | re | | | | | | | | |
| Pursuant to the Corpora purchased from the ope Php10.7801 per share. | ation's buy-back en market on 01 | program approved by the March 2021, a total of 5 | ne Board on 21 September 202 90,500 common shares at the | 20, the Corporation average price of | | | | | | |
| Type of Securities | | | | | | | | | | |
| Common | | | | | | | | | | |
| | | | | | | | | | | |
| Preferred N/A | | | | | | | | | | |
| Others N/A | | | | | | | | | | |
| Details of Share Buy-Bac | ck Transaction(s) | | | | | | | | | |
| Date of Transac | tion | Number of Sha | res Purchased | Price Per Share | | | | | | |
| Mar 1, 2021 | | | 1,000 | 10.92 | | | | | | |
| Mar 1, 2021 | | | 11,100 | 10.90 | | | | | | |
| Mar 1, 2021 | | | 16,200 | 10.88 | | | | | | |
| Mar 1, 2021 | | | 74,400 | 10.86 | | | | | | |
| Mar 1, 2021 | | | 36,600 | 10.84 | | | | | | |
| Mar 1, 2021 | | | 16,700 | 10.82 | | | | | | |
| Mar 1, 2021 | | | 201,800 | 10.80 | | | | | | |
| Mar 1, 2021 | | | 40,100 | 10.78 | | | | | | |
| Mar 1, 2021 | | | 59,300 | 10.76 | | | | | | |
| Mar 1, 2021 | | | 36,100 | 10.74 | | | | | | |
| Mar 1, 2021 | | | 34,300 | 10.72 | | | | | | |
| Mar 1, 2021 | | | 10.70 | | | | | | | |
| /lar 1, 2021 | | | 10.68 | | | | | | | |
| /lar 1, 2021 | | | 10.66 | | | | | | | |
| Mar 1, 2021 | | | 10.64 | | | | | | | |
| Mar 1, 2021 | | | 10.62 | | | | | | | |
| Mar 1, 2021 | | | 10.60 | | | | | | | |
| Mar 1, 2021 | | | 10.58 | | | | | | | |
| Mar 1, 2021 | | | 10.56 | | | | | | | |
| Mar 1, 2021 | | | 10.54 | | | | | | | |
| Mar 1, 2021 | | | 10.52 | | | | | | | |
| Mar 1, 2021 | | | 1,200 | | | | | | | |
| | | | | | | | | | | |
| ffects on Number of Sh | ares | | | | | | | | | |
| | | Before | After | | | | | | | |
| Outstanding Shares | | 9,681,623,179 | 9,681,032,6 | 79 | | | | | | |
| Treasury Shares | | 588,204,800 | 588,795,300 | 0 | | | | | | |
| Cumulative Number of Shares Purchased to Date ¹ | 58,394,700 | | | | | | | | | |
| Total Amount Appropriated for the Buy-Back Program | Php2,500,000,000.00 | | | | | | | | | |
| Total Amount of Shares Repurchased | | | | | | | | | | |
| Other Relevant Informat | ion | | | | | | | | | |
| None. | | | | | | | | | | |
| From the date wher | n the share buy | /-back program comr | menced. | | | | | | | |
| iled on behalf by: | | | | | | | | | | |
| Name | | Alan Quintana | | | | | | | | |
| Designation | | | Alan Quintana Corporate Secretary | | | | | | | |