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SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

- 1. <u>09 January 2023</u> Date of Report
- 2. SEC Identification No: ASO93-7946 3. BIR Tax Identification No: 003-831-302-000
- 4. <u>Alliance Global Group, Inc.</u> Exact name of issuer as specified in its charter
- 5. <u>Metro Manila</u> Province, Country or other jurisdiction of incorporation or organization
- 6. (SEC use only) Industry classification code
- 7. 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan <u>Quezon City, Metro Manila, Philippines, 1110</u> Address of issuer's principal office
- 8. **(632) 8709-2038 to 41** Issuer's telephone number, including area code
- 9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class No. of Shares of Common Stock Outstanding

Common Treasury 9,120,788,279 1,149,039,700

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 08 October 2021, the Corporation purchased from the open market on 09 January 2023, a total of 570,400 shares at the average price of Php11.7981 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING Chief Financial Officer, Compliance Officer and Corporate Information Officer

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

| 1. Date of Report (Date of earliest event reported) Jan 9, 2023 2. SEC Identification Number AS093-7946 3. BIR Tak Identification No. 003-831-302-000 4. Exact name of issuer as specified in its charter Alliance Global Group, Inc. 5. Province, country or other jurisdiction of incorporation Metro Manila 6. Industry Classification Code(SEC Use Only) 7. Address of principal office 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Metro Manila, Philippines Postal Code 1110 8. Issuer's telephone number, including area code (632) 8709-2038 to 41 9. Former name or former address, if changed since last report N/A 10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA <u>Tite of Each Class</u><u>Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding Common 9,120,788,279 1,149,039,700</u> 11. Indicate the item numbers reported herein Item 9(b) <i>PExchange does not warrant and holds no responsibility for the verseity of the facts and representations contained in all cooponds because of the disclosing party.</i> <i>PLINNECGLOBAL</i> <i>PLINNECGLOBAL</i> <i>PSE Disclosure Form</i> 9.1 Share Buy-Back Transactions <i>References: Section 9 of the Revised Disclosure Rules</i> | Subject of the Disclosure | |
|---|---|--|
| Jan 9, 2023 2. SEC Identification Number ASO93-7946 3. BIR Tax Identification No. 003-831-302-000 4. Exact name of issuer as specified in its charter Alliance Global Group, Inc. 5. Province, country or other jurisdiction of incorporation Metro Manila 6. Industry Classification Code(SEC Use Only) 7. Address of principal office 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Metro Manila, Philippines Postal Code 1110 8. Issuer's telephone number, including area code (632) 8709-2038 to 41 9. Former name or former address, if changed since last report N/A 10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA Title of Each Class Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding Common 9.120,788,279 1. Indicate the item numbers reported herein Item 9(b) htte Exchange does not warrant and holds no responsibility for the versely of the facts and regresentations contained in all corporate scalauses, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange does not warrant and holds no responsibility for the versely of the facts and regresentations contained in all corporate scalauses, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange does not warrant and holds no responsibility for the versely of the facts and regresentations contained in all corporate scalauses, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange does not warrant and holds no responsibility for the versely of the facts and regresentations contained in all corporate scalauses, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange does not warrant and holds no responsibility for the versely of the facts and regresentations contained in all corporate scal | | |
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| Jan 9, 2023 2. SEC Identification Number ASO93-7946 3. BIR Tax Identification No. 003-831-302-000 4. Exact name of issuer as specified in its charter Alliance Global Group, Inc. 5. Province, country or other jurisdiction of incorporation Metro Manila 6. Industry Classification Code(SEC Use Only) 7. Address of principal office 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Metro Manila, Philippines Postal Code 1110 8. Issuer's telephone number, including area code (632) 8709-2038 to 41 9. Former name or former address, if changed since last report N/A 10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA Title of Each Class Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding Quertorial 9,120,788,279 | | numbers reported herein |
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| Jan 9, 2023 2. SEC Identification Number ASO93-7946 | | |
| Jan 9, 2023 2. SEC Identification Number | | ion No. |
| Jan 9, 2023 | | Number |
| | , | Number |
| | | ate of earliest event reported) |

| Share Buy-Back Transa | actions | | | | | | | | |
|--|-------------------------------------|--|--|--|--|--|--|--|--|
| Background/Description | of the Disclosu | ire | | | | | | | |
| Pursuant to the Corpora from the open market of | ation's buy-back n 09 January 20 | program approved by the Bo 023, a total of 570,400 shares | bard on 08 October 2021 at the average price of F | , the Corporation purchased Php11.7981 per share. | | | | | |
| Type of Securities | | | | | | | | | |
| Common | | | | | | | | | |
| Preferred N/A | | | | | | | | | |
| Others N/A | | | | | | | | | |
| Details of Share Buy-Bac | k Transaction(s |) | | | | | | | |
| Date of Transac | tion | Number of Shares F | Number of Shares Purchased | | | | | | |
| Jan 9, 2023 | | | 517,600 | 11.80 | | | | | |
| Jan 9, 2023 | | | 52,800 | 11.78 | | | | | |
| | | | 570,400 | | | | | | |
| Effects on Number of Sha | ares | | | | | | | | |
| | | Before | e After | | | | | | |
| Outstanding Shares | | 9,121,358,679 | 279 | | | | | | |
| Treasury Shares | | 1,148,469,300 | 1,149,039,700 | | | | | | |
| Cumulative Number of Shares Purchased to Date ¹ | 528,437,200 | | | | | | | | |
| Total Amount Appropriated for the Buy-Back Program | Php7,000,000.00 | | | | | | | | |
| Total Amount of Shares Repurchased | Php6,040,320,455.00 | | | | | | | | |
| Other Relevant Informat | ion | | | | | | | | |
| The Cumulative Numbe buy-back program whic | | chased to Date and Total Am on October 08, 2021. | ount of Shares Repurcha | used are based on the new | | | | | |
| Please refer to the discl program. | osure dated 12 | December 2022 on the incre | ase in the amount appro | oriated for the buy-back | | | | | |
| | n the share bu | y-back program commen | ced. | | | | | | |
| Filed on behalf by: | | | | | | | | | |
| | | | | | | | | | |
| Name Designation | | Alan Quintana Corporate Secretary | | | | | | | |