

**CONSENT FORM FOR STOCKHOLDERS****INTRODUCTION**

We, Alliance Global Group, Inc. (AGI), value the confidentiality of personal data you have entrusted us. This Privacy Policy values and protects your personal data in accordance with the Data Privacy Act of 2012 (DPA), its Implementing Rules and Regulations (IRR), other issuances of National Privacy Commission (NPC) and other relevant laws of the Philippines.

Personal data refers to all types of personal information, which, in turn, refers to “any information, whether recorded in a material form or not, from which the identity of an individual is apparent or can be reasonably and directly ascertained by the entity holding the information, or when put together with other information would directly and certainly identify an individual”, sensitive personal information and privileged information.

This document tells you how we will process your personal data. It also tells you what steps you can take if you want us to change how we use your personal data, or if you want us to stop using your personal data.

**COLLECTION AND USE OF PERSONAL INFORMATION**

To comply with AGI’s corporate policies and to ensure that work is done safely and efficiently, it is important that AGI collects, uses, processes, stores, and retains your personal data when it is reasonable and necessary. In particular, we are using your information:

- To establish your identity as a stockholder of record in order to provide you services and accord you the benefits of a stockholder, which includes managing, administering, approving or facilitating any transaction or action in relation to your shares of stock, the payment of your dividends, and the registration of your shares in the stock and transfer books;
- To comply with all necessary submissions and requirements of the PSE, SEC, BIR, and other government and regulatory agencies to maintain AGI as a publicly-listed corporation;
- To be used for reportorial requirements, in connection with the preparation of information memorandum to prospective investors, or for any other capital or fund-raising exercises, and to the subsidiaries or affiliates, in connection with “Know Your Customer” requirements of the banks and other financial institutions, which the companies deal with;
- For demographics and data analytics;
- To be used as reference to update currently existing records, if necessary.

Please note that you are responsible for ensuring that all such personal data you submit is accurate, complete and up-to-date. When you provide information other than your own, you certify that you have obtained the consent and authority of the owner of such information (such as your parents, spouse, children, dependent, or any other person) to allow us to disclose and process such information.

**METHOD OF COLLECTING PERSONAL INFORMATION**

We collect your data when you:

- Fill-out forms and submit necessary documents;
- Submit information through any form on our digital platforms or contact us through any of our social media accounts;
- Provide personal information in relation to inquiries, requests, and complaints;
- Disclose your personal information through telephone calls, emails, SMS or verbal communication with our authorized representatives.

**SHARING OF PERSONAL INFORMATION**

As a general rule, we are not allowed to share your data to third party, except in limited circumstances as noted below.

By giving your consent, you authorize AGI to disclose your personal data to accredited/affiliated third parties or independent/non-affiliated third parties, whether local or foreign, in the following circumstances:

- As necessary for the proper execution of processes related to the declared purposes
- The use or disclosure is reasonably necessary, required or authorized by or under law

This means we might provide your personal data to the following:

- Our affiliates, subsidiaries, partner companies, organizations, or agencies including their sub-contractors or prospective business partners that act as our service providers and contractors;
- Any third-party service provider performing financial, administrative, technical and other ancillary services.
- Government institution and other competent authorities, (i.e. the Securities and Exchange Commission and the Philippine Stock Exchange) which by law, rules or regulations require us to disclose your personal information.
- Any person or entity we contractually entered with and who ensures the confidentiality standard we implement and adheres to the DPA.

**PROTECTION AND RETENTION OF PERSONAL INFORMATION**

To maintain the integrity and confidentiality of your personal information, we put in place organizational, physical and technical security measures to protect your personal information, such as:

- Use of secured servers, firewalls, encryptions and other latest security tools.
- Limited access to personal information to those duly authorized processors. All transfers are made after complying with the established confidentiality policy and practices in place.
- Maintain a secured server operating environment by performing regular security patch update and server hardening.

We retain your personal information:

- Up to five (5) years or for as long as necessary for the fulfillment of the purposes for which the data was obtained or until necessary for the establishment, exercise or defense of legal claims, or for legitimate business purposes, as provided by law or any governmental regulation;
- To the extent necessary in keeping track of your transaction and records;
- For statistical, research and other purpose specifically authorized by law.

**RENEWAL OF POLICY**

We may periodically update or amend our Privacy Policy in order to adhere to new and existing laws affecting the DPA, including any change or improvement we establish to secure your personal information. Any updates or changes shall not alter how we handle previously collected personal data without obtaining your consent, unless required by law.

**CONTACT US**

For any comment, question or complaint regarding this Privacy Policy, you may contact our Data Protection Officer at:

Postal Address: 7<sup>th</sup> Floor 1880 Eastwood Avenue Building,  
Eastwood City CyberPark, 188 E. Rodriguez Jr.  
Avenue, Bagumbayan, Quezon City,  
Metro Manila, Philippines  
Attn: Data Protection Officer

Telephone Number: (632)8894-6300 local 2906

Email Address: dpo@allianceglobalinc.com

**FORMAL DECLARATION**

I explicitly and unambiguously consent to the collection, processing and storage of my personal data by AGI for the purpose(s) described herein and in the Privacy Policy/Statement provided in www.allianceglobalinc.com. I have completely read and comprehend the terms described in this Consent Form and the Privacy Policy before signing below.

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*Signature over printed name*

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*Date*