



110122015001454



## SECURITIES AND EXCHANGE COMMISSION

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### Company Information

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**SEC Registration No.** AS93007946  
**Company Name** ALLIANCE GLOBAL GROUP INC.  
**Industry Classification** Real Estate Activities  
**Company Type** Stock Corporation

### Document Information

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**C E R T I F I C A T I O N**

I, **DOMINIC V. ISBERTO**, of legal age, Filipino, with office address at the 28<sup>th</sup> Floor The World Centre, 330 Sen. Gil Puyat Avenue, Makati City, Philippines, having been sworn in accordance with law, do hereby state that:

1. I am the Corporate Secretary of **ALLIANCE GLOBAL GROUP, INC.** (the "Corporation"), a corporation duly organized and existing under Philippine laws with business address at 7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Philippines;

2. I hereby certify that the compact disc accompanying the **General Information Sheet** of the Corporation filed with the Securities and Exchange Commission on the date hereunder contains the exact data in the hard copies of the General Information Sheet.

IN WITNESS WHEREOF, I have hereunto set my hand this 12 day of OCT 2015 at Makati City, Philippines.

**QUEZON CITY**



**DOMINIC V. ISBERTO**  
Corporate Secretary

SUBSCRIBED AND SWORN to before me this 12 day of OCT 2015 2015 at Makati City, Philippines, affiant exhibiting to me his SSS No. 33-1952824-1.

Doc No. 410 ;  
Page No. 83 ;  
Book No. 213 ;  
Series of 2015.

NOTARY PUBLIC



**ATTY. SOCORRO MARICEL N. NEDOMUCENO**  
Notary Public for and in Quezon City until Dec. 31, 2015  
PTR No. 0595554-Jan.6, 2015/Q.C. IBP No. 943020  
Roll No. 50756/MCLE No. IV-0011378/Appointment No. NP-068  
3F Vargas Bldg. #103 Kalayaan Ave. Diliman Quezon City

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2015  
STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
- ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
- THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC.		<b>DATE REGISTERED:</b>  October 12, 1993
<b>BUSINESS/TRADE NAME:</b> ALLIANCE GLOBAL GROUP, INC.		<b>FISCAL YEAR END:</b> December 31
<b>SEC REGISTRATION NUMBER:</b> AS093-7946		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b> 003-831-302-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>  3rd Tuesday of September		<b>www.allianceglobalinc.com</b>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> September 15, 2015		<b>E-MAIL ADDRESS:</b> intingdin@ymail.com
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>  7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila		<b>FAX NUMBER:</b> 709-1966
<b>COMPLETE BUSINESS ADDRESS:</b>  7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila		<b>TELEPHONE NUMBER(S):</b> 709-2038 to 41
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Punongbayan & Araullo - Mailene Sigue-Bisnar	<b>SEC ACCREDITATION NUMBER (if applicable):</b> AR-2-partner 0396- 3-firm 0002-FR-	<b>GEOGRAPHICAL CODE:</b> N.A.
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>  Holding Company	<b>INDUSTRY CLASSIFICATION:</b>  N.A.	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N.A.	N.A.	N.A.
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ALLIANCE GLOBAL BRANDS, INC.	A199919852	7th Floor, 1880 Eastwood Ave. Eastwood City CyberPark Bagumbayan, QC
FIRST CENTRO, INC.	AS094-6876	7th Floor, 1880 Eastwood Ave. Eastwood City CyberPark Bagumbayan, QC
EMPERADOR INC.	A200117595	7th Floor, 1880 Eastwood Ave. Eastwood City CyberPark Bagumbayan, QC
MEGAWORLD CORPORATION	167423	28/F World Centre, 330 Sen. Gil Puyat Avenue, Makati City
GOLDEN ARCHES DEVELOPMENT CORPORATION	94000	17/F Citibank Centre, Paseo de Roxas, Makati City
ADAMS PROPERTIES, INC.	CS200702851	7th Floor, 1880 Eastwood Ave. Eastwood City CyberPark Bagumbayan, QC
TRAVELLERS INTERNATIONAL HOTEL GROUP, INC	CS2003-42649	10F Newport Entertainment & Commercial Center Newport Blvd., Pasay City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: ALLIANCE GLOBAL GROUP, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**      Yes  No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
	<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>
	<p><b>8.</b> <input type="checkbox"/> None of the above</p> <p style="text-align: center;">Describe nature of business: <b>HOLDING COMPANY</b></p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its**      Yes  No

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC.							
<b>CAPITAL STRUCTURE (As of September 30, 2015)</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
		<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>		
		Common	12,950,000,000	1.00	12,950,000,000.00		
<b>TOTAL</b>			<b>12,950,000,000</b>	<b>TOTAL P</b>	<b>12,950,000,000.00</b>		
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
	858	Common	6,798,596,082	855,314,210	1.00	6,798,596,082.00	66.20%
<b>TOTAL</b>			<b>6,811,878,145</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>6,798,596,082.00</b>	<b>66.20%</b>
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
British Virgin	1	Common	120,000,000	120,000,000	1.00	120,000,000.00	1.17%
Non-Filipino	1	Common	3,351,225,497	3,351,225,497	1.00	3,351,225,497.00	32.63%
Chinese	2	Common	2,200	2,200	1.00	2,200.00	0.00%
Finnish	1	Common	4,200	4,200	1.00	4,200.00	0.00%
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>3,471,231,897</b>	<b>TOTAL P</b>	<b>3,471,231,897.00</b>	<b>33.80%</b>
<b>TOTAL SUBSCRIBED P</b>						<b>10,269,827,979.00</b>	<b>100.00%</b>
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>
	858	Common	6,798,596,082	1.00	6,798,596,082.00		66.20%
<b>TOTAL</b>			<b>6,798,596,082</b>	<b>TOTAL P</b>	<b>6,798,596,082.00</b>		<b>66.20%</b>
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>
British Virgin	1	Common	120,000,000	1.00	120,000,000.00		1.17%
Non-Filipino	1	Common	3,351,225,497	1.00	3,351,225,497.00		32.63%
Chinese	2	Common	2,200	1.00	2,200.00		0.00%
Finnish	1	Common	4,200	1.00	4,200.00		0.00%
<b>TOTAL</b>			<b>3,471,231,897</b>	<b>TOTAL P</b>	<b>3,471,231,897.00</b>		<b>33.80%</b>
<b>TOTAL PAID-UP P</b>						<b>10,269,827,979.00</b>	<b>100.00%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.

## DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
1. ANDREW L. TAN - Filipino 105 Rodeo Drive, Corinthian Hills, Brgy. Ugong Norte, Quezon City	N	C	M	Y	Chairman & CEO	A/M	125-960-003
2. SERGIO R. ORTIZ-LUIS, JR. - Filipino 24 Sto. Tomas St. Urdaneta Village, Makati City	N	I	M	Y	Vice Chairman	A/M	122-326-423
3. KINGSON U. SIAN - Filipino Unit 1803 Horizon Condo., Meralco Ave., Pasig City	N	M	M	Y	President	N.A.	184-608-473
4. KATHERINE L. TAN - Filipino 105 Rodeo Drive, Corinthian Hills, Brgy. Ugong Norte, Quezon City	N	M	F	Y	Treasurer	N.A.	137-599-459
5. WINSTON S. CO - Filipino 23 Lopez Jaena St. Ayala Heights Subd. Balara, Quezon City	N	M	M	Y	N.A.	C/C N/M	126-339-464
6. ALEJO L. VILLANUEVA, JR. - Filipino Unit 15-20 Cityland Pasong Tamo Tower, Pasong Tamo Extension, Makati City	N	I	M	Y	N.A.	C/C A/C N/C	107-279-348
7. KEVIN ANDREW L. TAN - Filipino 11 Abueva St., Corinthian Gardens, Quezon City	N	M	M	Y	N.A.	N/M C/M	224-803-734
8. DINA D.R. INTING - Filipino Commonwealth Hobart Homes, Old Balara, Quezon City	N	N.A.	F	Y	FVP-Finance/ CIO	N.A.	111-198-710
9. DOMINIC V. ISBERTO - Filipino Regency Townhomes, Xavierville 2, Q.C.	N	N.A.	M	N	Corporate Secretary	N.A.	204-642-254
10. ROLANDO D. SIATELA - Filipino 77 Monrovia St., LBA1, Sta. Rosa, Laguna	N	N.A.	M	N	Assistant Corporate Secretary	N.A.	121-475-619
11.							
12.							
13.							
14.							
15.							

**INSTRUCTIONS:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 863	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 853
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b> Php409,618,924,094.00 (consolidated)	

### STOCKHOLDER'S INFORMATION ( as of September 30, 2015)

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. THE ANDRESONS GROUP, INC.* Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark Bagumbayan, Q.C.	Common	4,018,078,294	4,018,078,294.00	39.13%	4,018,078,294.00	290-000-298-167
	<b>TOTAL</b>	<b>4,018,078,294</b>	<b>4,018,078,294.00</b>			
2. PCD NOMINEE CORPORATION Non-Filipino 37/F Tower 1, The Enterprise Ctr., 6766 Ayala Ave. cor. Paseo de Roxas, Makati City	Common	3,351,225,497	3,351,225,497.00	32.63%	3,351,225,497.00	004-774-849-000
	<b>TOTAL</b>	<b>3,351,225,497</b>	<b>3,351,225,497.00</b>			
a. The Hongkong and Shanghai Banking Corp Ltd 12/F, The Enterprise Center, Tower 6766 Ayala Avenue Paseo. Makati	Common	1,690,831,635	1,690,831,635.00	16.46%		
b. Deutsche Bank Manila 26/F Ayala Tower One Ayala Triangle, Makati	Common	1,178,009,245	1,178,009,245.00	11.47%		
c. Citibank N.A. 11/F Citibank Tower Villar cor. Valero St. Makati	Common	161,428,241	161,428,241.00	1.57%		
3. PCD NOMINEE CORPORATION* Filipino G/F MKSE Bldg Ayala Avenue, Makati City	Common	972,687,233	972,687,233.00	9.47%	972,687,233.00	004-774-849-000
	<b>TOTAL</b>	<b>972,687,233</b>	<b>972,687,233.00</b>			
a. Government Service Insurance System GSIS Hqs Financial Center Roxas Blvd. Pasay	Common	157,442,800	157,442,800.00	1.53%		
b. The Hongkong and Shanghai Banking Corp Ltd 12/F, The Enterprise Center, Tower 6766 Ayala Avenue Paseo. Makati	Common	105,949,714	105,949,714.00	1.03%		
4. ALTAVISION RESOURCES, INC. Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	451,574,334	451,574,334.00	4.40%	451,574,334.00	006-608-221
	<b>TOTAL</b>	<b>451,574,334</b>	<b>451,574,334.00</b>			
5. YORKSHIRE HOLDINGS, INC. Filipino 28/F The World Center Bldg. 330 Sen. Gil Puyat Avenue, Makati City	Common	255,773,508	255,773,508.00	2.49%	255,773,508.00	201-372-827
	<b>TOTAL</b>	<b>255,773,508</b>	<b>255,773,508.00</b>			
6. ASIAGROUP HOLDINGS, INC. Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	220,004,000	220,004,000.00	2.14%	220,004,000.00	006-608-239
	<b>TOTAL</b>	<b>220,004,000</b>	<b>220,004,000.00</b>			
<b>SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>9,269,342,866.00</b>	<b>90.26%</b>		
<b>SUBTOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>9,269,342,866.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

\*includes shares beneficially owned by The Andresons Group, Inc. lodged with PCD Nominee Corporation

\*excludes shares beneficially owned by The Andresons Group, Inc.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** ALLIANCE GLOBAL GROUP, INC.

**TOTAL NUMBER OF STOCKHOLDERS:** 863 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 853

**TOTAL ASSETS BASED ON LATEST AUDITED FS:** Php409,618,924,094.00 (consolidated)

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
7. GLOBALAND HOLDINGS, INC. Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	220,004,000	220,004,000.00	2.14%	220,004,000.00	006-608-191
	<b>TOTAL</b>	<b>220,004,000</b>	<b>220,004,000.00</b>			
8. GRAND BELAIR HOLDINGS, INC Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	220,004,000	220,004,000.00	2.14%	220,004,000.00	006-608-213
	<b>TOTAL</b>	<b>220,004,000</b>	<b>220,004,000.00</b>			
9. LE BRISTOL HOLDINGS, INC. Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	216,100,000	216,100,000.00	2.10%	216,100,000.00	006-614-541
	<b>TOTAL</b>	<b>216,100,000</b>	<b>216,100,000.00</b>			
10. CALIFORNIA ORCHARD GROWERS' INVESTMENTS, INC. - Non-Filipino c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	120,000,000	120,000,000.00	1.17%	120,000,000.00	449-952-507-000
	<b>TOTAL</b>	<b>120,000,000</b>	<b>120,000,000.00</b>			
11. EASTWOOD PROPERTY HOLDINGS, INC. Filipino c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	112,600,000	112,600,000.00	1.10%	112,600,000.00	005-025-837-000
	<b>TOTAL</b>	<b>112,600,000</b>	<b>112,600,000.00</b>			
12. ANDREW L. TAN Filipino 105 Rodeo Drive, Corinthian Hills, Brgy. Ugong Norte, Quezon City	Common	63,684,350	63,684,350.00	0.62%	63,684,350.00	125-960-003
	<b>TOTAL</b>	<b>63,684,350</b>	<b>63,684,350.00</b>			
13. ANDRESONS GLOBAL, INC. Filipino 21/F IBM Plaza Eastwood City, Bagumbayan, Quezon City	Common	30,088,596	30,088,596.00	0.29%	30,088,596.00	000-381-355
	<b>TOTAL</b>	<b>30,088,596</b>	<b>30,088,596.00</b>			
14. MEGAWORLD CEBU PROPERTIES, INC. Filipino 28/F The World Center Bldg. 330 Sen. Gil Puyat Avenue, Makati City	Common	10,000,000	10,000,000.00	0.10%	10,000,000.00	223-075-409
	<b>TOTAL</b>	<b>10,000,000</b>	<b>10,000,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>992,480,946.00</b>	<b>9.66%</b>		
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>992,480,946.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC.						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 863			<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 853			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b> Php409,618,924,094.00 (consolidated)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. KINGSON U. SIAN Filipino 1803 Horizon Condominium Ortigas Center, Pasig City	Common	5,001,100	5,001,100.00	0.05%	5,001,100.00	184-608-473
	<b>TOTAL</b>	<b>5,001,100</b>	<b>5,001,100.00</b>			
16. LUCIO W. YAN &/OR CLARA Y. YAN Filipino 537 Lafayette St., Greenhills, Mandaluyong City	Common	1,000,000	1,000,000.00	0.01%	1,000,000.00	102-646-906
	<b>TOTAL</b>	<b>1,000,000</b>	<b>1,000,000.00</b>			
17. FIRST CENTRO, INC. Filipino 7/F 1880 Eastwood Avenue, Eastwood City CyberPark Bagumbayan, Q.C.	Common	364,200	364,200.00	0.00%	364,200.00	003-978-174
	<b>TOTAL</b>	<b>364,200</b>	<b>364,200.00</b>			
18. AMERICAN WIRE & CABLE CO., INC. Filipino KM. 16 South Superhighway, Parañaque, Metro Manila	Common	200,000	200,000.00	0.00%	200,000.00	000-409-854-000
	<b>TOTAL</b>	<b>200,000</b>	<b>200,000.00</b>			
19. CHING BUN TENG Filipino 389 Quirino Hi-way, Novaliches, Metro Manila	Common	150,000	150,000.00	0.00%	150,000.00	105-538-185
	<b>TOTAL</b>	<b>150,000</b>	<b>150,000.00</b>			
20. RAMON C. GARCIA Filipino c/o Transmar Agencies, Inc., 8/F Country Space I Bldg., Sen. Gil Puyat Ave., Makati City	Common	100,000	100,000.00	0.00%	100,000.00	101-293-958
	<b>TOTAL</b>	<b>100,000</b>	<b>100,000.00</b>			
21. OTHERS (843 stockholders)	Common	1,188,867	1,188,867.00	0.01%	1,188,867.00	
	<b>TOTAL</b>	<b>1,188,867</b>	<b>1,188,867.00</b>			
<b>SUB-TOTAL</b>			<b>8,004,167.00</b>	<b>0.08%</b>	<b>8,004,167.00</b>	
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>10,269,827,979.00</b>	<b>100%</b>	<b>10,269,827,979.00</b>	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> ALLIANCE GLOBAL GROUP, INC. (As of December 31, 2014)			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	6,402,668,179.00	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	10,323,308,569.00	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	2,415,056,035.00	N.A.	
1.4 GOVERNMENT TREASURY BILLS	N.A.	N.A.	
1.5 OTHERS	N.A.	N.A.	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b> N.A.	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	0		
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b> PhP 64,932,319,305.00			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	3,183,646,673.49	August 12, 2015	
5.2 STOCK	N.A.	N.A.	
5.3 PROPERTY	N.A.	N.A.	
<b>TOTAL</b>	3,183,646,673.49		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b> N.A.			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Certificate of Permit to Offer	N.A.	N.A.
	Securities for Sale (3,600,000,000 common shares)	N.A.	N.A.
<b>DATE ISSUED:</b>	June 06, 2007	N.A.	N.A.
<b>DATE STARTED OPERATIONS:</b>	June 06, 2007		
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
525,000	7	28,879	35,552

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, DOMINIC V. ISBERTO, CORPORATE SECRETARY OF **ALLIANCE GLOBAL GROUP, INC.** DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF EIGHT (9) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 20\_\_\_\_ IN \_\_\_\_\_

OCT 12 2015

*[Signature]*

DOMINIC V. ISBERTO

QUEZON CITY

SUBSCRIBED AND SWORN TO BEFORE ME IN \_\_\_\_\_ **QUEZON CITY** CITY/PROVINCE, PHILIPPINES ON \_\_\_\_\_ AFFIDAVIT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS SSS NO. 33-

1952824-1.

OCT 12 2015

DOC. NO: 409 :  
PAGE NO. 83 :  
BOOK NO. 213 :  
SERIES OF 2015 :

NOTARY PUBLIC FOR \_\_\_\_\_ CITY/PROVINCE  
Notarial Commission No. \_\_\_\_\_  
Commission expires on December 31, \_\_\_\_\_  
Roll of Attorney Number \_\_\_\_\_  
PTR No. \_\_\_\_\_  
IBP No. \_\_\_\_\_  
Office Address: \_\_\_\_\_

*[Signature]*  
**ATTY. SOCORRO MARICEL N. NEDOMUCENO**

Notary Public for and in Quezon City until Dec. 31, 2015  
PTR No. 0595554-Jan.6, 2015/Q.C. IBP No. 943020

Roll No. 50756/MCLE No. IV-0011378/Appointment No. NP-068  
3F Vargas Bldg. #103 Kalayaan Ave. Diliman Quezon City