



109282018002939



SECURITIES AND EXCHANGE COMMISSION

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Company Information

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Industry Classification Real Estate Activities
Company Type Stock Corporation

Document Information

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GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2018

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

***** PLEASE PRINT LEGIBLY *****

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.		DATE REGISTERED: 10/12/1993
BUSINESS/TRADE NAME: ALLIANCE GLOBAL GROUP, INC.		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: AS093-7946		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 003-831-302-000
DATE OF ANNUAL MEETING PER BY-LAWS: 3rd Tuesday of September		WEBSITE/URL ADDRESS: www.allianceglobalinc.com
ACTUAL DATE OF ANNUAL MEETING: 18-Sep-18		E-MAIL ADDRESS: dinainting@allianceglobal.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila		FAX NUMBER: 709-1966
COMPLETE BUSINESS ADDRESS: 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila	NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Punongbayan & Araullo - Romualdo V. Murcia III	SEC ACCREDITATION NUMBER (if applicable): 0628-AR-3-partner 0002-FR-5-firm
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding Company	TELEPHONE NUMBER(S): 709-2038 to 41	INDUSTRY CLASSIFICATION: N.A.
	GEOGRAPHICAL CODE: N.A.	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N.A.	N.A.	N.A.
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ALLIANCE GLOBAL BRANDS, INC.	A199919852	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
FIRST CENTRO, INC.	AS094-6876	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
EMPERADOR INC.	A200117595	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
MEGAWORLD CORPORATION	167423	30th Floor, Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio Taguig City
GOLDEN ARCHES DEVELOPMENT CORPORATION	94000	17/F Citibank Centre, Paseo de Roxas, Makati City
ADAMS PROPERTIES, INC.	CS200702851	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
TRAVELLERS INTERNATIONAL HOTEL GROUP, INC.	CS2003-42649	10/F Newport Entertainment & Commercial Center Newport Blvd., Pasay City
INFRACORP DEVELOPMENT, INC.	CS201713100	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
DEW DREAMS INTERNATIONAL, INC.	CS201718342	18th Floor, Alliance Global Tower 36th St., Uptown Bonifacio, Taguig Metro Manila
SHIOK SUCCESS INTERNATIONAL, INC.	CS201718343	18th Floor, Alliance Global Tower 36th St., Uptown Bonifacio, Taguig Metro Manila

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

ALLIANCE GLOBAL GROUP, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p>
<p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p>	<p><input type="radio"/> Yes <input checked="" type="radio"/> No</p>
<p>Describe nature of business:</p>	<p>HOLDING COMPANY</p>

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **ALLIANCE GLOBAL GROUP, INC.**

CAPITAL STRUCTURE (as of August 31, 2018)

AUTHORIZED CAPITAL STOCK				
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	12,950,000,000	1.00	12,950,000,000.00
TOTAL		12,950,000,000	TOTAL P	12,950,000,000.00

SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	858	Common	7,215,966,164	1,377,584,292	1.00	7,215,966,164.00	71.69%
TOTAL			7,215,966,164	TOTAL	TOTAL P	7,215,966,164.00	71.69%

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Non-Filipino	1	Common	2,728,682,215	2,728,682,215	1.00	2,728,682,215.00	27.11%	
Other Alien	1	Common	120,000,000	0	1.00	120,000,000.00	1.19%	
Chinese	3	Common	204,700	204,700	1.00	204,700.00	0.00%	
Kittian & Nevisian	1	Common	100,000	100,000	1.00	100,000.00	0.00%	
American	1	Common	52,500	52,500	1.00	52,500.00	0.00%	
Finland	1	Common	29,400	29,400	1.00	29,400.00	0.00%	
Percentage of Foreign Equity :			TOTAL 2,849,068,815	TOTAL	TOTAL P	2,849,068,815.00	28.31%	
						TOTAL SUBSCRIBED P	10,065,034,979.00	100%

PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	858	Common	7,215,966,164	1	7,215,966,164.00	71.69%	
TOTAL			7,215,966,164	TOTAL P	7,215,966,164.00	71.69%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Non-Filipino	1	Common	2,728,682,215	1	2,728,682,215	27.11%	
Other Alien	1	Common	120,000,000	1	120,000,000	1.19%	
Chinese	3	Common	204,700	1	204,700	0.00%	
Kittian & Nevisian	1	Common	100,000	1	100,000	0.00%	
American	1	Common	52,500	1	52,500	0.00%	
Finland	1	Common	29,400	1	29,400	0.00%	
TOTAL			2,849,068,815	TOTAL P	2,849,068,815.00	28.31%	
					TOTAL PAID-UP P	10,065,034,979.00	100%

NOTE: USE ADDITIONAL SHEET IF NECESSARY

Common, Preferred or other classification

* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.						
DIRECTORS / OFFICERS						
NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. ANDREW L. TAN Filipino	N	C	M	Y	Chairman	A/M; RPT/M
2. KEVIN ANDREW L. TAN Filipino	N	M	M	Y	CEO, Vice Chairman	CG/M; BRO/M
3. KINGSON U. SIAN Filipino	N	M	M	Y	President & COO	N.A
4. KATHERINE L. TAN Filipino	N	M	F	Y	Treasurer	N.A
5. ALEJO L. VILLANUEVA, JR. Filipino	N	I	M	Y	Lead Independent Director	A/C; CG/C; BRO/M; RPT/C
6. WINSTON S. CO Filipino	N	M	M	Y	N.A.	N.A
7. SERGIO R. ORTIZ-LUIS, JR. Filipino	N	I	M	Y	N.A.	A/M; CG/M; BRO/C; RPT/M
8. DINA D.R. INTING Filipino	N	N.A.	F	Y	Chief Financial Officer, CIO, & Compliance Officer	N.A
9. DOMINIC V. ISBERTO Filipino	N	N.A.	M	N	Corporate Secretary	N.A
10. ROLANDO D. SIATELA Filipino	N	N.A.	M	N	Assistant Corporate Secretary	N.A
11. ---NOTHING FOLLOWS---						
12.						
13.						
14.						
15.						
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.						

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:	ALLIANCE GLOBAL GROUP, INC.		
TOTAL NUMBER OF STOCKHOLDERS:	866	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	855
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:	Php561,821,792,882.00		

STOCKHOLDER'S INFORMATION (as of August 31, 2018)

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	
1. The Andresons Group, Inc. Filipino	Common	4,033,178,294	4,033,178,294.00	40.07%	4,033,178,294.00
	TOTAL	4,033,178,294	4,033,178,294.00		
2. PCD Nominee Corporation Non-Filipino	Common	2,728,682,215	2,728,682,215.00	27.11%	2,728,682,215.00
	TOTAL	2,728,682,215	2,728,682,215.00		
a. The Hongkong and Shanghai Banking Corp Ltd - Client's Acct. Non-Filipino	Common	1,607,950,257	1,607,950,257.00		1,607,950,257.00
	TOTAL	1,607,950,257	1,607,950,257.00		
b. Deutsche Bank Manila-Clients A/C Non-Filipino	Common	798,815,252	798,815,252.00		798,815,252.00
	TOTAL	798,815,252	798,815,252.00		
c. Citibank N.A. Non-Filipino	Common	572,884,979	572,884,979.00		572,884,979.00
	TOTAL	572,884,979	572,884,979.00		
3. PCD Nominee Corporation Filipino	Common	1,375,091,147	1,375,091,147.00	13.66%	1,375,091,147.00
	TOTAL	1,375,091,147	1,375,091,147.00		
a. Government Service Insurance System Filipino	Common	180,870,921	180,870,921.00		180,870,921.00
	TOTAL	180,870,921	180,870,921.00		
b. Standard Chartered Bank Filipino	Common	154,286,252	154,286,252.00		154,286,252.00
	TOTAL	154,286,252	154,286,252.00		
SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL			8,136,951,656.00	80.84%	8,136,951,656.00
			SUBTOTAL AMOUNT OF PAID-UP CAPITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME:	ALLIANCE GLOBAL GROUP, INC.		
TOTAL NUMBER OF STOCKHOLDERS:	866	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	855
TOTAL ASSETS BASED ON LATEST AUDITED FS:	Php561,821,792,882.00		

STOCKHOLDER'S INFORMATION (as of August 31, 2018)

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (Php)
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP	
1. Altavision Resources, Inc. Filipino	Common	451,574,334	451,574,334.00	4.49%	451,574,334.00
	TOTAL	451,574,334	451,574,334.00		
2. Yorkshire Holdings, Inc. Filipino	Common	255,773,508	255,773,508.00	2.54%	255,773,508.00
	TOTAL	255,773,508	255,773,508.00		
3. Asiagroup Holdings, Inc. Filipino	Common	220,004,000	220,004,000.00	2.19%	220,004,000.00
	TOTAL	220,004,000	220,004,000.00		
4. Globaland Holdings, Inc. Filipino	Common	220,004,000	220,004,000.00	2.19%	220,004,000.00
	TOTAL	220,004,000	220,004,000.00		
5. Grand Belair Holdings, Inc. Filipino	Common	220,004,000	220,004,000.00	2.19%	220,004,000.00
	TOTAL	220,004,000	220,004,000.00		
6. Le Bristol Holdings, Inc. Filipino	Common	216,100,000	216,100,000.00	2.15%	216,100,000.00
	TOTAL	216,100,000	216,100,000.00		
7. California Orchard Grower Investments, Inc. Non-Filipino	Common	120,000,000	120,000,000.00	1.19%	120,000,000.00
	TOTAL	120,000,000	120,000,000.00		
SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL			9,840,411,498	97.77%	
SUBTOTAL AMOUNT OF PAID-UP CAPITAL					9,840,411,498.00

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

e: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate et, if necessary.

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TOTAL ASSETS BASED ON LATEST AUDITED FS:	Php561,821,792,882.00		

STOCKHOLDER'S INFORMATION (as of August 31, 2018)

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	
11. Eastwood Property Holdings, Inc. Filipino	Common	112,600,000	112,600,000.00	1.12%	112,600,000.00
	TOTAL	112,600,000	112,600,000.00		
12. Andrew L. Tan Filipino	Common	63,684,350	63,684,350.00	0.63%	63,684,350.00
	TOTAL	63,684,350	63,684,350.00		
13. Andresons Global, Inc. Filipino	Common	30,088,596	30,088,596.00	0.30%	30,088,596.00
	TOTAL	30,088,596	30,088,596.00		
14. Megaworld Cebu Properties, Inc. Filipino	Common	10,000,000	10,000,000.00	0.10%	10,000,000.00
	TOTAL	10,000,000	10,000,000.00		
15. Kingson U. Sian Filipino	Common	5,001,100	5,001,100.00	0.05%	5,001,100.00
	TOTAL	5,001,100	5,001,100.00		
16. Lucio W. Yan &/or Clara Y. Yan Filipino	Common	1,000,000	1,000,000.00	0.01%	1,000,000.00
	TOTAL	1,000,000	1,000,000.00		
17. First Centro, Inc. Filipino	Common	364,200	364,200.00	0.00%	364,200.00
	TOTAL	364,200	364,200.00		
SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL			10,063,149,744.00	99.98%	10,063,149,744.00
SUBTOTAL AMOUNT OF PAID-UP CAPITAL					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate list, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME:	ALLIANCE GLOBAL GROUP, INC.		
TOTAL NUMBER OF STOCKHOLDERS:	866	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	855
TOTAL ASSETS BASED ON LATEST AUDITED FS:	Php561,821,792,882.00		

STOCKHOLDER'S INFORMATION (as of August 31, 2018)

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
18. Jianhua Su Chinese	Common	202,500	202,500.00	0.00%	202,500.00
	TOTAL	202,500	202,500.00		
19. American Wire & Cable Co. Inc. Filipino	Common	200,000	200,000.00	0.00%	200,000.00
	TOTAL	200,000	200,000.00		
20. Ramon C. Garcia Filipino	Common	100,000	100,000.00	0.00%	100,000.00
	TOTAL	100,000	100,000.00		
21. OTHERS (846 stockholders)	Common	1,382,735	1,382,735.00	0.01%	1,382,735.00
	TOTAL	1,382,735	1,382,735.00		
-NOTHING FOLLOWS-					
	TOTAL				
	TOTAL				
	TOTAL				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL		10,065,034,979.00	100%	10,065,034,979.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

e: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate et, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

PLEASE PRINT LEGIBLY			
CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	6,853,164,336.00	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	13,948,119,300.00	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	2,544,141,192.00	N.A.	
1.4 GOVERNMENT TREASURY BILLS	N.A.	N.A.	
1.5 OTHERS	N.A.	N.A.	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N.A.	N.A.	N.A.	
3. TREASURY SHARES	N.A.	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		39,810,500	0.39%
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR Php99,572,006,321			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED	
5.1 CASH	N.A.	N.A.	
5.2 STOCK	N.A.	N.A.	
5.3 PROPERTY	N.A.	N.A.	
TOTAL			
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	Certificate of Permit to Offer Securities for Sale (3,600,000,000 common shares)	N.A.	N.A.
DATE ISSUED:	6-Jun-07	N.A.	N.A.
DATE STARTED OPERATIONS:	6-Jun-07	N.A.	N.A.
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
Php525,000	8	28,879	42,055

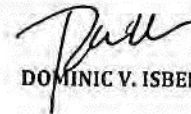
NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, DOMINIC V. ISBERTO CORPORATE SECRETARY OF ALLIANCE GLOBAL GROUP, INC.
DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH
INFORMATION SHEET WHICH CONSISTS OF THIRTEEN (13) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO
THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

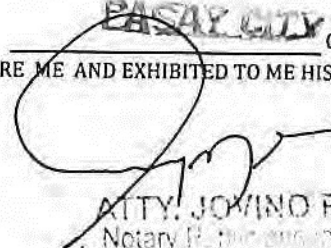
I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION
HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-
OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN
THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS SEP 28 2010 DAY OF _____, 20____ IN PASAY CITY


DOMINIC V. ISBERTO

SUBSCRIBED AND SWORN TO BEFORE ME IN PASAY CITY CITY/PROVINCE, PHILIPPINES ON
SEP 28 2010, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS


ATTY. JOVINO R. ANGEL
Notary Public and Lawyer City

NOTARY PUBLIC FOR
Notarial Commission No. _____
Commission expires on December 31, _____
Roll of Attorney Number: _____
PTR, NA E Compliance No. _____
IBP No. _____ Roll of Attorney's No. 28761
Office Address: _____

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BOOK NO.: 10
SERIES OF.: 2018