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| 7 /    | /   F      |          | 1     | 8    | 8                  | 0            |       | E         | A      | S     | Τ             | W        | 0     | 0    | D      |       | A      | V      | E        | N           | U     | E          |             |
| E /    | A S        | T        | W     | 0    | 0                  | D            |       | C         | I      | Τ     | Y             |          | C     | Y    | B      | E     | R      | P      | A        | R           |       | (          |             |
| B      | A G        | U        | M     | B    | A                  | Y            | A     | N         |        | Q     | U             | E        | Z     | 0    | N      |       | C      |        | T        | Y           |       |            |             |
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| onth   |            | Day      |       |      |                    |              |       |           | F      | ORM   |               | PE       |       |      |        |       |        |        |          | onth        |       |            | Davis       |
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|        |            |          |       |      |                    |              |       |           |        |       | icate<br>Secu |          |       |      |        |       |        |        |          |             |       |            |             |
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|        |            |          |       |      |                    |              |       |           |        |       |               |          | _     |      |        |       |        |        |          |             |       |            |             |
| otal N | o. of S    | Stock    | hold  | lers |                    |              |       |           |        |       |               |          | Do    | mes  | tic    |       |        |        | F        | orei        | gn    |            |             |
|        |            |          |       |      |                    | <b>T</b> - 1 |       |           |        |       |               | <u> </u> |       |      |        |       | -1     |        |          |             |       |            |             |
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|        | Do         | cume     | nt I. | D.   |                    |              |       |           |        |       |               |          |       |      |        |       |        |        |          |             |       |            |             |
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|        |            |          |       |      |                    |              |       |           |        |       |               |          |       |      |        |       |        |        |          |             |       |            |             |
|        | ¢          | БТА      | м     | Þς   |                    |              |       |           |        |       |               |          |       |      |        |       |        |        |          |             |       |            |             |
|        |            |          |       |      |                    |              |       |           |        |       |               |          |       |      |        |       |        |        |          |             |       |            |             |
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#### SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

- 1. <u>13 January 2022</u> Date of Report
- 2. SEC Identification No: ASO93-7946 3. BIR Tax Identification No: 003-831-302-000
- 4. <u>Alliance Global Group, Inc.</u> Exact name of issuer as specified in its charter
- 5. <u>Metro Manila</u> Province, Country or other jurisdiction of incorporation or organization
- 6. (SEC use only) Industry classification code
- 7. 7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan <u>Quezon City, Metro Manila, Philippines, 1110</u> Address of issuer's principal office
- 8. **(632) 8709-2038 to 41** Issuer's telephone number, including area code
- 9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class

No. of Shares of Common Stock Outstanding

Common Treasury 9,468,676,479 801,151,500

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 08 October 2021, the Corporation purchased from the open market on 13 January 2022, a total of 384,500 common shares at the average price of Php12.0068 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

### SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING Chief Financial Officer, Compliance Officer and Corporate Information Officer

# SECURITIES AND EXCHANGE COMMISSION

## SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

|  | References: Section 9 of the Revised Disclosure Rules  |
|--|--|
|  | PSE Disclosure Form 9-1 - Share Buy-Back Transactions  |
|  | Alliance Global Group, Inc.<br>AGI   |
|  | ALLIANCE GLOBAL  |
| lisclosures, including financi           | rant and holds no responsibility for the veracity of the facts and representations contained in all corporate<br>al reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange<br>for purposes of information. Any questions on the data contained herein should be addressed directly to<br>ficer of the disclosing party. |
| 11. Indicate the item<br>Item 9(b)       | numbers reported herein  |
| Treasury                                 | 801,151,500  |
| Title of Each Class<br>Common            | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding<br>9,468,676,479   |
| N/A                                      | ormer address, if changed since last report<br>ered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA  |
| 8. Issuer's telephone<br>(632)-8709-2038 | e number, including area code<br>6 to 41   |
|  | al office<br>Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue,<br>uezon City, Metro Manila, Philippines   |
|  | tion Code(SEC Use Only)  |
| 5. Province, country<br>Metro Manila     | or other jurisdiction of incorporation   |
| Alliance Global (                        | Group, Inc.  |
| 003-831-302-00<br>4. Exact name of iss   | )<br>uer as specified in its charter   |
| 3. BIR Tax Identificat                   |  |
| 2. SEC Identification<br>ASO93-7946      | Number   |
|  |  |
| Jan 13, 2022                             | ate of earliest event reported)  |

| Share Buy-Back Transa  | actions                             |  |   |  |  |  |  |  |
|--|-------------------------------------|--|---|--|--|--|--|--|
| Background/Description   | n of the Disclosu                   | Ire  |   |  |  |  |  |  |
| Pursuant to the Corpora<br>from the open market o<br>share.      | ation's buy-bacł<br>n 13 January 20 | program approved by the I<br>022, a total of 384,500 com | Board on 08 October 202<br>mon shares at the averag | 21, the Corporation purchased ge price of Php12.0068 per |  |  |  |  |
| Type of Securities   |                                     |  |   |  |  |  |  |  |
| Common   |                                     |  |   |  |  |  |  |  |
| Preferred N/A  |                                     |  |   |  |  |  |  |  |
| Others N/A   |                                     |  |   |  |  |  |  |  |
| Details of Share Buy-Bac   | k Transaction(s                     | )  |   |  |  |  |  |  |
| Date of Transac  | tion                                | Number of Shares   | Purchased   | Price Per Share  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 20,300  | 12.06  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 10,000  | 12.04  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 210,000   | 12.02  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 64,900  | 12.00  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 29,800  |  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 23,400  |  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 20,000 11.9   |  |  |  |  |  |
| Jan 13, 2022   |                                     |  | 6,100   | 11.92  |  |  |  |  |
|  |                                     |  | 384,500   |  |  |  |  |  |
| Effects on Number of Sh  | ares                                |  |   |  |  |  |  |  |
|  |                                     | Before   | After   |  |  |  |  |  |
| Outstanding Shares   |                                     | 9,469,060,979  | 9,468,67  | 6,479  |  |  |  |  |
| Treasury Shares  |                                     | 800,767,000  | 801,151,  | 500  |  |  |  |  |
| Cumulative Number of<br>Shares Purchased to<br>Date <sup>1</sup> | 180,549,000                         |  |   |  |  |  |  |  |
| Total Amount<br>Appropriated for the<br>Buy-Back Program         | Php4,000,000.00                     |  |   |  |  |  |  |  |
| Total Amount of Shares<br>Repurchased                            | Php2,109,716,026.00                 |  |   |  |  |  |  |  |
| Other Relevant Informat  | ion                                 |  |   |  |  |  |  |  |
| The Cumulative Numbe buy-back program whic                       |                                     |  | mount of Shares Repurc                              | hased are based on the new                               |  |  |  |  |
| <sup>1</sup> From the date wher                                  | n the share bu                      | y-back program comme                                     | nced.   |  |  |  |  |  |
| Filed on behalf by:  |                                     |  |   |  |  |  |  |  |
| Name   |                                     | Alan Quintana  |   |  |  |  |  |  |
| Designation  |                                     | Corporate Secretar                                       | У   |  |  |  |  |  |