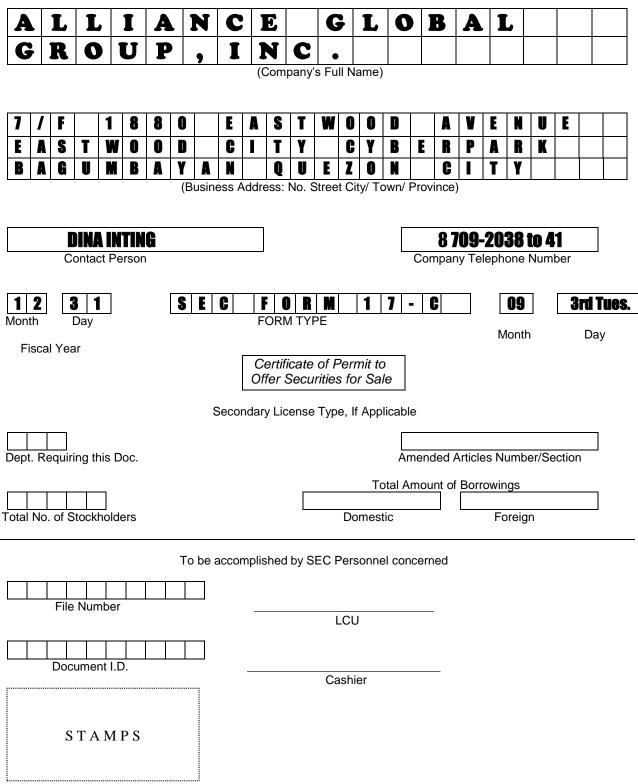
| Α | S | - | - | _ | | | 9 | 4 | 6 |
|----------------------------|---|---|---|---|--|--|---|---|---|
| S.E.C. Registration Number | | | | | | | | | |



Remarks = pls. use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

- 1. <u>29 September 2020</u> Date of Report
- 2. SEC Identification No: ASO93-7946 3. BIR Tax Identification No: 003-831-302-000
- 4. <u>Alliance Global Group, Inc.</u> Exact name of issuer as specified in its charter
- 5. <u>Metro Manila</u> Province, Country or other jurisdiction of incorporation or organization
- 6. (SEC use only) Industry classification code
- 7. 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan <u>Quezon City, Metro Manila, Philippines, 1110</u> Address of issuer's principal office
- 8. **(632) 8709-2038 to 41** Issuer's telephone number, including area code
- 9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class No. of Shares of Common Stock

Outstanding

Common Treasury 9,737,410,779 532,417,200

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 21 September 2020, the Corporation purchased from the open market on 29 September 2020, a total of 870,200 common shares at the average price of Php6.9191per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING Chief Financial Officer, Compliance Officer and Corporate Information Officer

532,417,200

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

| 1. | | ate of earliest event reported) |
|----|---------------------------------------|---|
| ~ | Sep 29, 2020 | |
| Ζ. | SEC Identification | n number |
| 0 | ASO93-7946 | |
| 3. | BIR Tax Identifica | |
| | 003-831-302-00 | |
| | | suer as specified in its charter |
| | Alliance Global | Group, Inc. |
| 5. | Province, country | or other jurisdiction of incorporation |
| | Metro Manila | |
| 6. | Industry Classific | ation Code(SEC Use Only) |
| 7. | | oal office Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez, Jr. bayan, Quezon City |
| 8. | | e number, including area code |
| | (632) 8709-2038 | |
| 9. | | ormer address, if changed since last report |
| | N/A | |
| 10 | Securities regist | ered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA |
| | Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
| | Common | 9,737,410,779 |

11. Indicate the item numbers reported herein

Item 9(b)

Treasury

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



Alliance Global Group, Inc. AGI

PSE Disclosure Form 9-1 - Share Buy-Back Transactions References: Section 9 of the Revised Disclosure Rules

Subject of the Disclosure

Share Buy-Back Transactions.

Background/Description of the Disclosure

Pursuant to the Corporation's buy-back program approved by the Board on 21 September 2020, the Corporation purchased from the open market on 29 September 2020, a total of 870,200 common shares at the average price of Php6.9191 per share.

Type of Securities

Common

Preferred N/A

Others N/A

Details of Share Buy-Back Transaction(s)

| Date of Transaction | Number of Shares Purchased | Price Per Share |
|---------------------|----------------------------|-----------------|
| Sep 29, 2020 | 6,000 | 7.05 |
| Sep 29, 2020 | 10,500 | 7.04 |
| Sep 29, 2020 | 21,300 | 7.03 |
| Sep 29, 2020 | 21,600 | 7.02 |
| Sep 29, 2020 | 27,500 | 7.01 |
| Sep 29, 2020 | 30,800 | 7.00 |
| Sep 29, 2020 | 39,900 | 6.99 |
| Sep 29, 2020 | 30,500 | 6.98 |
| Sep 29, 2020 | 11,300 | 6.97 |
| Sep 29, 2020 | 12,200 | 6.96 |
| Sep 29, 2020 | 51,700 | 6.95 |
| Sep 29, 2020 | 55,800 | 6.94 |
| Sep 29, 2020 | 33,800 | 6.93 |
| Sep 29, 2020 | 31,700 | 6.92 |
| Sep 29, 2020 | 32,500 | 6.91 |
| Sep 29, 2020 | 138,800 | 6.90 |
| Sep 29, 2020 | 31,000 | 6.89 |
| Sep 29, 2020 | 72,300 | 6.88 |
| Sep 29, 2020 | 53,000 | 6.87 |
| Sep 29, 2020 | 45,000 | 6.86 |
| Sep 29, 2020 | 48,900 | 6.85 |
| Sep 29, 2020 | 55,600 | 6.84 |
| Sep 29, 2020 | 8,500 | 6.83 |
| | 870,200 | |

| | Before | After |
|--------------------|---------------|---------------|
| Outstanding Shares | 9,738,280,979 | 9,737,410,779 |
| Treasury Shares | 531,547,000 | 532,417,200 |

| Cumulative Number of Shares Purchased to Date ¹ | 2,016,600 |
|--|------------------|
| Total Amount Appropriated for the Buy-Back Program | Php2,500,000.00 |
| Total Amount of Shares Repurchased | Php14,126,542.00 |

Other Relevant Information

None.

¹ From the date when the share buy-back program commenced.

Filed on behalf by:

| Name | Alan Quintana |
|-------------|---------------------|
| Designation | Corporate Secretary |