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(Business Address: No. Street City/ Town/ Province)

DINA INTING		709-2038 to 41
Contact Person	Compa	ny Telephone Number
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S	Secondary License Type, If Applicable	
Dept. Requiring this Doc.	Amended	Articles Number/Section
	Total Amount o	of Borrowings
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To be a	accomplished by SEC Personnel concerned	
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### SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

## CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

1. <u>17 November 2020</u>

Date of Report

2. SEC Identification No: ASO93-7946 3. BIR Tax Identification No: 003-831-302-000

4. Alliance Global Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila

Province, Country or other jurisdiction of incorporation or organization

6. (SEC use only)

Industry classification code

7. 7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan

Quezon City, Metro Manila, Philippines, 1110

Address of issuer's principal office

8. **(632) 8709-2038 to 41** 

Issuer's telephone number, including area code

9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class No. of Shares of Common Stock

Outstanding

Common 9,716,814,679 Treasury 553,013,300

10. Item 9 (b)

Please see attached disclosure filed with the Philippine Stock Exchange.

### SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

Ву:

DINA D.R. INTING

Chief Financial Officer, Compliance Officer and Corporate Information Officer

## SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Nov 17, 2020

2. SEC Identification Number

ASO93-7946

3. BIR Tax Identification No.

003-831-302-000

4. Exact name of issuer as specified in its charter

Alliance Global Group, Inc.

5. Province, country or other jurisdiction of incorporation

Metro Manila

- 6. Industry Classification Code(SEC Use Only)
- 7. Address of principal office

7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez, Jr. Avenue, Bagumbayan, Quezon City, Metro Manila, Philippines
Postal Code
1110

8. Issuer's telephone number, including area code (632) 8709-2038 to 41

9. Former name or former address, if changed since last report

N/A

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common	9,716,814,679
Treasury	553,013,300

11. Indicate the item numbers reported herein

Item 9(b)

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



# Alliance Global Group, Inc. AGI

PSE Disclosure Form 10-1 - Acquisition or Disposition of Shares by Subsidiaries/Affiliates

Reference: Section 10 of the Revised Disclosure Rules

Subject of the Disclosure

Acquisition of Shares by Megaworld Corporation (MEG)

#### **Background/Description of the Disclosure**

On 17 November 2020, MEG, a subsidiary of AGI, acquired a total of 90,200 common shares of AGI at the average price of Php9.1236 per share from the open market.

Name of subsidiary and/or affiliate	Megaworld Corporation
Nature of relationship with Issuer	Subsidiary

### **Details of the Transaction**

Date of Transaction	Number of Shares Acquired	Number of Shares Disposed	Price Per Share
Nov 17, 2020	10,100	0	9.20
Nov 17, 2020	800	0	9.19
Nov 17, 2020	1,100	0	9.18
Nov 17, 2020	1,600	0	9.17
Nov 17, 2020	2,400	0	9.16
Nov 17, 2020	11,200	0	9.15
Nov 17, 2020	5,500	0	9.14
Nov 17, 2020	5,700	0	9.13
Nov 17, 2020	6,600	0	9.12
Nov 17, 2020	12,300	0	9.11
Nov 17, 2020	9,800	0	9.10
Nov 17, 2020	7,600	0	9.09
Nov 17, 2020	7,200	0	9.08
Nov 17, 2020	4,500	0	9.07
Nov 17, 2020	3,800	0	9.06

Total number of shares held by the subsidiary and/or affiliate after transaction	26,090,200
Percentage to total outstanding shares	0.27

### **Other Relevant Information**

None.

### Filed on behalf by:

Name	Alan Quintana
Designation	Corporate Secretary