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SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

- 1. <u>27 October 2021</u> Date of Report
- 2. SEC Identification No: ASO93-7946 3. BIR Tax Identification No: 003-831-302-000
- 4. <u>Alliance Global Group, Inc.</u> Exact name of issuer as specified in its charter
- 5. <u>Metro Manila</u> Province, Country or other jurisdiction of incorporation or organization
- 6. (SEC use only) Industry classification code
- 7. 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan <u>Quezon City, Metro Manila, Philippines, 1110</u> Address of issuer's principal office
- 8. **(632) 8709-2038 to 41** Issuer's telephone number, including area code
- 9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class No. of Shares of Common Stock

Outstanding

9,624,373,179

645.454.800

Common Treasury

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 08 October 2021, the Corporation purchased from the open market on 27 October 2021, a total of 1,000,000 common shares at the average price of Php10.6060 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING Chief Financial Officer, Compliance Officer and Corporate Information Officer

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

| | Date of earliest event reported) |
|--------------------------------|---|
| Oct 27, 2021 | |
| 2. SEC Identification | n Number |
| ASO93-7946 | |
| 3. BIR Tax Identifica | |
| 003-831-302-00 | |
| | suer as specified in its charter |
| Alliance Global | |
| | or other jurisdiction of incorporation |
| Metro Manila | |
| 6. Industry Classific | ation Code(SEC Use Only) |
| 7. Address of princi | pal office |
| 7th Floor, 1880 | Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, |
| | Quezon City, Metro Manila, Philippines |
| Postal Code 1110 | |
| | |
| 8. Issuer's telephon | e number, including area code |
| (632)-8709-203 | 8 to 41 |
| 9. Former name or f | former address, if changed since last report |
| N/A | |
| 10. Securities regist | ered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA |
| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
| Common | 9,624,373,179 |
| rTreasury | 645,454,800 |
| 11. Indicate the item | n numbers reported herein |
| Item 9(b) | |
| | |
| disclosures, including finance | rrant and holds no responsibility for the veracity of the facts and representations contained in all corporate sial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, / for purposes of information. Any questions on the data contained herein should be addressed directly to fficer of the disclosing party. |
| | Alliance Global |
| | |
| | Alliance Global Group, Inc. |
| | AGI |
| | |
| | PSE Disclosure Form 9-1 - Share Buy-Back Transactions References: Section 9 of the Revised Disclosure Rules |
| Subject of the Disclosure | |
| , | |

| Share Buy-Back Transa | actions | | | | | | | | |
|---|-------------------------------------|--|---|--|--|--|--|--|--|
| Background/Descriptior | n of the Disclosu | re | | | | | | | |
| Pursuant to the Corpora from the open market o share. | ation's buy-back n 27 October 20 | program approved by the Bo 21, a total of 1,000,000 com | pard on 08 October 202 mon shares at the avera | 1, the Corporation purchased age price of Php10.6060 per | | | | | |
| Type of Securities | | | | | | | | | |
| Common | | | | | | | | | |
| Preferred N/A | | | | | | | | | |
| Others N/A | | | | | | | | | |
| Details of Share Buy-Bac | k Transaction(s) |) | | | | | | | |
| Date of Transac | tion | Number of Shares P | Purchased | Price Per Share | | | | | |
| Oct 27, 2021 | | | 105,000 | 10.64 | | | | | |
| Oct 27, 2021 | | | 94,100 | | | | | | |
| Oct 27, 2021 | | | 797,900 | | | | | | |
| Oct 27, 2021 | | | 3,000 | | | | | | |
| | | 1,000,000 | | | | | | | |
| Effects on Number of Sh | ares | | | | | | | | |
| | | Before After | | | | | | | |
| Outstanding Shares | | 9,625,373,179 | 3,179 | | | | | | |
| Treasury Shares | | 644,454,800 | 4,454,800 645,454,800 | | | | | | |
| Cumulative Number of Shares Purchased to 24,852,300 Date ¹ | | | | | | | | | |
| Total Amount Appropriated for the Buy-Back Program | Php4,000,000.00 | | | | | | | | |
| Total Amount of Shares Repurchased | Php263,012,038.00 | | | | | | | | |
| Other Relevant Informat | ion | | | | | | | | |
| The Cumulative Numbe | er of Shares Pure h commenced o | chased to Date and Total Am on October 08, 2021. | ount of Shares Repurch | nased are based on the new | | | | | |
| | n the share buy | y-back program commen | ced. | | | | | | |
| ¹ From the date wher | n the share buy | y-back program commen | ced. | | | | | | |
| | n the share bu | y-back program comment | ced. | | | | | | |