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## Alliance Global Group, Inc. AGI

**PSE Disclosure Form 17-3 - Request for Extension to File SEC Form 17-A  
References: SRC Rule 17, SEC Memorandum Circular No. 7 Series of 2008 and  
Section 17.2 and 17.8 of the Revised Disclosure Rules**

For the fiscal year ended	Dec 31, 2023
Deadline of Submission of Annual Report	Apr 15, 2024

#### Reason for requesting extension to submit Annual Report

Alliance Global Group, Inc. will not be able to file its Annual Report under SEC Form 17-A on the statutory deadline because the consolidation of its financial statements and notes is still in progress and its auditors have expressed that they cannot finalize their report on the said deadline date, pending completion of audit of a material subsidiary's consolidation. This cannot be completed or eliminated without unreasonable effort or expense on the part of the Company. The Company will file the subject report within the allowed extension period.

#### Other Relevant Information

Please see the attached SEC Form 17-L.

***The Company undertakes to submit the report within fifteen (15) calendar days after the prescribed deadline or upon submission of the report to the Securities and Exchange Commission, whichever is earlier. The Company understands that failure to comply with the undertaking may result to the imposition of applicable penalty/ies and/or sanction/s.***

#### Filed on behalf by:

Name	Alan Quintana
Designation	Corporate Secretary

A S 0 9 3 - 7 9 4 6

S.E.C. Registration Number

A L L I A N C E G L O B A L  
G R O U P , I N C .

(Company's Full Name)

7 / F 1 8 8 0 E A S T W O O D A V E N U E  
E A S T W O O D C I T Y C Y B E R P A R K  
B A G U N B A Y A N Q U E Z O N C I T Y

(Business Address: No. Street City/ Town/ Province)

**DINA D.R. INTING**

Contact Person

**8709-2038 to 41**

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

S E C F O R M 1 7 - L

FORM TYPE

06

Month

3rd Thurs.

Day

Certificate of Permit to Offer Securities for Sale

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_  
LCU

Document I.D.

\_\_\_\_\_  
Cashier

STAMPS

Remarks = pls. use black ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION**  
**SEC FORM 17-L**  
**NOTIFICATION OF INABILITY TO FILE ALL OR**  
**ANY PORTION OF SEC FORM 17-A OR 17-Q**

**Check One:**

Form 17-A []

Period-Ended Date of required filing **31 December 2023**

Date of this report **12 April 2024**

Nothing in this Form shall be construed to imply that the Commission has verified any information contained herein.

This notification relates to Form 17-A

1. SEC Identification Number **AS093-7946**    2. BIR Tax Identification No. **003-831-302-000**

3. **ALLIANCE GLOBAL GROUP, INC.**  
Exact name of issuer as specified in its charter

4. **METRO MANILA, PHILIPPINES**  
Province, country or other jurisdiction of incorporation

5. Industry Classification Code:  (SEC Use Only)

6. **7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark**  
**Bagumbayan, Quezon City, Philippines**  
Address of principal office

**1110**  
Postal Code

7. **(02) 8709-2038 to 41**  
Issuer's telephone number, including area code

8. Are any of the issuer's securities listed on a Stock Exchange?

Yes [] Common stock at Philippine Stock Exchange

**Part I - Representations**

The subject report could not be filed without unreasonable effort or expense and the issuer seeks relief pursuant to SRC Rule 17-1:

- (a) The reasons described in reasonable detail in Part II of this Form could not be estimated without unreasonable effort or expense.
- (b) The subject annual report on SEC Form 17-A will be filed on or before the fifteenth (15) calendar day following the prescribed due date.
- (c) The accountant's statement or other exhibit required by paragraph 3 of SRC Rule 17-1 is not applicable.

## Part II - Narrative

ALLIANCE GLOBAL GROUP, INC. will not be able to file its Annual Report under SEC Form 17-A on the statutory deadline (April 14, 2024) because the consolidation of its financial statements and notes is still in progress and its auditors have expressed that they cannot finalize their report on the said deadline date, pending completion of audit of a material subsidiary's consolidation. This cannot be completed or eliminated without unreasonable effort or expense on the part of the Company. The Company will file the subject report within the allowed extension period.

## Part III - Other Information

(a) Name, address and telephone number, including area code, and position/title of person to contact in regard to this notification: **MS. DINA D.R. INTING, Chief Financial Officer**, 7<sup>th</sup> Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan, Quezon City, Metro Manila, Philippines, Tel. (02) 8709-2038 to 41.

(b) Have all other periodic reports required under Section 17 of the Code and under Sections 26 and 141 of the Corporation Code of the Philippines during the preceding 12 months, or for such shorter period that the issuer was required to file such report(s), been filed? If the answer is no, identify the report(s).

Yes [] No []

(c) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes [] No []

## SIGNATURE

Pursuant to the requirements of the SRC Rule 17-1, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ALLIANCE GLOBAL GROUP, INC.**

By:



**DINA D.R. INTING**

Chief Financial Officer

Duly Authorized Representative

April 12, 2024

**Ms. Dina Inting**  
Chief Financial Officer  
**Alliance Global Group, Inc. and Subsidiaries**  
7<sup>th</sup> Floor, 1880 Eastwood Avenue  
Eastwood City CyberPark  
1880 E. Rodriguez, Jr. Avenue  
Bagumbayan, Quezon City

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**Punongbayan & Araullo**  
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Philippines

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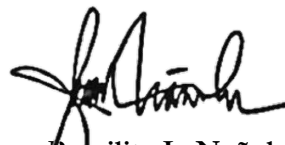
Dear Ms. Inting,

We would like to inform you that our audit of the December 31, 2023 consolidated financial statements of **Alliance Global Group, Inc. and its subsidiaries** (the “Group”) is currently in progress and we cannot finalize our auditors’ report by April 14, 2024.

The consolidated financial statements are currently undergoing our Firm’s quality assurance review, including audit of the note disclosures provided by the Group, to ensure compliance with the Philippine Financial Reporting Standards (PFRS) and relevant Securities and Exchange Commission disclosure requirements.

Rest assured, we will exert our best efforts to complete the December 31, 2023 audit and issue the corresponding auditor’s report as soon as possible in the next few days.

**PUNONGBAYAN & ARAULLO**



**Ramilito L. Nañola**  
Partner

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**Certified Public Accountants**

Punongbayan & Araullo is the Philippine member firm of Grant Thornton International Ltd (GTIL).

Offices in Cavite, Cebu, Davao  
BOA/PRC Cert of Reg. No. 0002  
SEC Accreditation No. 0002

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